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The Chemical  
Vapor Deposition  
of Metal Nitride Films  
Using Modern  
Metalorganic  
Precursors

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Synthetic  
Applications of  
Indium Trichloride  
Catalyzed Reactions

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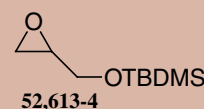
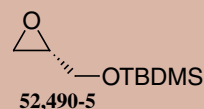
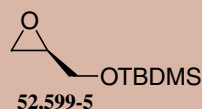
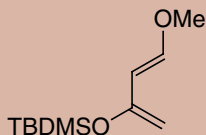


# New Products

The *tert*-butyldimethylsilyl analog of Danishefsky's diene is now available. It has been used to prepare a variety of bicyclic enones and 2,3-dihydro-4*H*-pyran-4-ones.<sup>1-3</sup>

(1) Uchida, H. et al. *Tetrahedron Lett.* **1999**, 40, 113. (2) Pudukulathan, Z. et al. *J. Am. Chem. Soc.* **1998**, 120, 11953. (3) Annunziata, R. et al. *J. Org. Chem.* **1992**, 57, 3605.

**51,536-1** *trans*-3-(*tert*-Butyldimethylsilyloxy)-1-methoxy-1,3-butadiene, 95%



A variety of nucleophiles have been used to open the oxirane ring of these compounds. Examples include lithium acetylides<sup>1,2</sup> and lithium dithianes.<sup>3</sup>

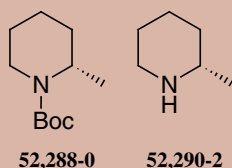
(1) Arista, L. et al. *Heterocycles* **1998**, 48, 1325. (2) Maguire, R. J. et al. *J. Chem. Soc., Perkin Trans. 1* **1998**, 2853. (3) Smith, A. B., III; Boldi, A. M. *J. Am. Chem. Soc.* **1997**, 119, 6925.

**52,599-5** *tert*-Butyldimethylsilyl (*R*)-(+)-glycidyl ether, 98%

**52,490-5** *tert*-Butyldimethylsilyl (*S*)-(-)-glycidyl ether, 98%

**52,613-4** *tert*-Butyldimethylsilyl glycidyl ether, 98%

Building blocks for *trans*-2-methyl-6-substituted piperidines via deprotonation with *sec*-butyllithium followed by reaction with an electrophile.<sup>1-3</sup>

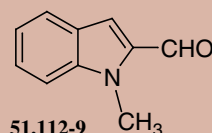
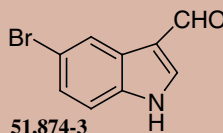


(1) Chackalamannil, S. et al. *J. Am. Chem. Soc.* **1996**, 118, 9812. (2) Beak, P.; Lee, W. K. *J. Org. Chem.* **1993**, 58, 1109. (3) *Idem ibid.* **1990**, 55, 2578.

**52,288-0** (*S*)-(+)-*N*-(*tert*-Butoxycarbonyl)-2-methylpiperidine, 98%

**52,290-2** (*S*)-(+)-2-Methylpiperidine, 97%

Precursors for a wide variety of substituted indoles.<sup>1-3</sup>

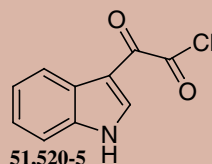


(1) Battaglia, S. et al. *Eur. J. Med. Chem.* **1999**, 34, 93. (2) Joseph, B. et al. *J. Heterocycl. Chem.* **1997**, 34, 525. (3) Le Borgne, M. et al. *Bioorg. Med. Chem. Lett.* **1999**, 9, 333.

**51,874-3** 5-Bromoindole-3-carboxaldehyde, 98%

**51,112-9** 1-Methylindole-2-carboxaldehyde, 97%

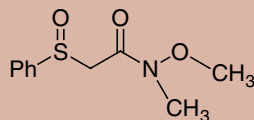
**51,520-5** 3-Indoleglyoxyl chloride, 98%



$\alpha,\beta$ -Unsaturated *N*-methoxy-*N*-methylamides are prepared from this reagent through deprotonation with sodium hydride, reaction with an alkyl halide, and in situ heating.

Beney, C. et al. *Tetrahedron Lett.* **1998**, 39, 5779.

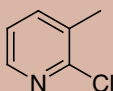
**51,139-0** *N*-Methoxy-*N*-methyl-2-(phenylsulfinyl)acetamide, 96%



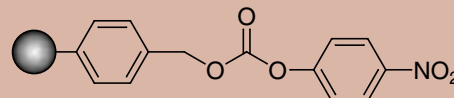
2-Substituted picolines have been prepared from this compound. Examples include (2-pyridyl)indoles and endothelin receptors.<sup>1,2</sup>

(1) Amat, M. et al. *J. Org. Chem.* **1997**, 62, 3158. (2) Kourounakis, A. et al. *Bioorg. Med. Chem. Lett.* **1997**, 7, 2223.

**51,894-8** 2-Chloro-3-methylpyridine, 97%



This carbonate resin is used to bind amines or amino acids as urethanes.



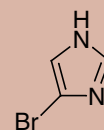
Dipeptides and hydantoins have been prepared from these polymer-bound urethanes.<sup>1-3</sup>

(1) Dixit, D. M.; Leznoff, C. C. *J. Chem. Soc., Chem. Commun.* **1977**, 798. (2) Dressman, B. A. et al. *Tetrahedron Lett.* **1996**, 37, 937. (3) Gouilleux, L. et al. *ibid.* **1996**, 37, 7031.

**51,529-9** 4-Nitrophenyl carbonate, polymer-bound on Wang Resin

Starting material for the preparation of 4-substituted imidazoles.<sup>1,2</sup>

(1) Lange, J. H. M. et al. *Tetrahedron* **1995**, 51, 13447. (2) Singh, B. et al. *J. Med. Chem.* **1992**, 35, 4858.

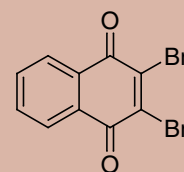


**47,869-5** 4-Bromo-1*H*-imidazole, 97%

Naphthoquinones are prepared from this compound via palladium-catalyzed coupling reactions with tributylstannyl-heteroaromatics or by nucleophilic displacement of one or both bromides.<sup>1,2</sup>

(1) Yoshida, S. et al. *Chem. Lett.* **1996**, 139. (2) Falling, S. N.; Rapoport, H. *J. Org. Chem.* **1980**, 45, 1260.

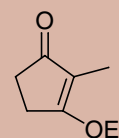
**52,342-9** 2,3-Dibromo-1,4-naphthoquinone, 97%



Precursor for 3-substituted-2-methyl-2-cyclopenten-1-ones.<sup>1,2</sup>

(1) Cossy, J. et al. *Tetrahedron Lett.* **1997**, 38, 4069. (2) Junga, H.; Blechert, S. *ibid.* **1993**, 34, 3731.

**51,440-3** 3-Ethoxy-2-methyl-2-cyclopenten-1-one, 97%



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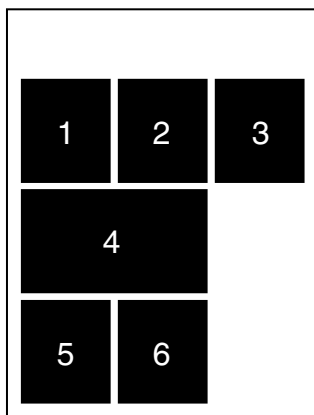
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It is a great pleasure for me to again provide a contribution to our *Aldrichimica Acta*, this time as President of Aldrich. During the years 1973–1977, I contributed nine reviews to this publication. Now, 23 years later, I am back on these pages. Much has changed over the years, but we never stopped publishing what we here at Aldrich simply call "the ACTA".

Year 2000 was viewed as a perfect time to roll out a new look for our classic. However, we have not deleted any of the features that you have come to expect. Art on the cover (reprints available), excellent and timely reviews, lab notes, featured new products, etc. are all here for you to enjoy. Our goal is to continue to be *Chemists Helping Chemists* as we move into the new millennium. We know you will continue to find new ideas and information to help you in your research efforts.

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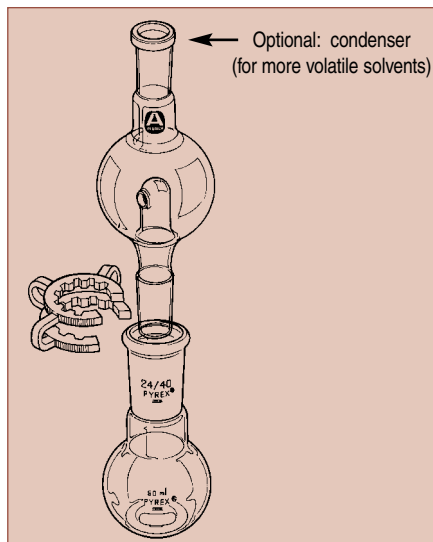
# Lab Notes

## Aldrich Rotary Evaporator Antisplash Adapters as Solvent Traps in Recrystallizations

For practicing synthetic chemists, recrystallization of reaction intermediates is routine. For maximal product recovery, it is important to prepare a saturated solution of the compound. In many instances, this is difficult to judge, since excess solvent is sometimes needed to completely dissolve the solute, or since filtration subsequent to charcoal treatment is followed by washing of the residue with hot solvent. These steps result in dilution of the crystallizing solution, and, therefore, concentration of such a solution has to be performed. This is usually accomplished by simply heating the solution to evaporate some of the solvent. However, this leads to two problems: (a) how much solvent is actually left in the flask cannot be accurately estimated, and (b) solvent vapors are discharged into the hood and are not collected for recycling or disposal. We have devised a simple solution to these two problems that uses the Aldrich rotary evaporator antisplash adapter (without return holes, Cat. No. Z17,604-4 to Z20,329-7).

The rotary evaporator antisplash adapter doubles as a solvent trap for the recovery of the crystallization solvent. The compound is placed in

a round-bottom flask, and heated while the solvent is gradually added until the compound



dissolves completely. The antisplash adapter is then attached to the flask and heating is continued. The solvent that evaporates at this

stage condenses and collects in the antisplash adapter. A couple of modifications of this setup are possible. For larger-scale operations, the solutions can be magnetically stirred and heated. Also, for better recoveries of volatile solvents, a reflux condenser can be attached to the top of the antisplash adapter. After the solvent has collected in the adapter, the adapter is disconnected, and the solvent is removed and its volume measured. Besides effecting the desired concentration of the crystallization solution, this procedure allows one to calculate the amount of solvent left in the crystallization flask, and to recycle or properly dispose of the condensed solvent.

We routinely use this setup and find it very convenient. We hope that other researchers will find it equally helpful.

**Mahesh K. Lakshman**, Assistant Professor

Department of Chemistry

University of North Dakota

Grand Forks, ND 58202-9024

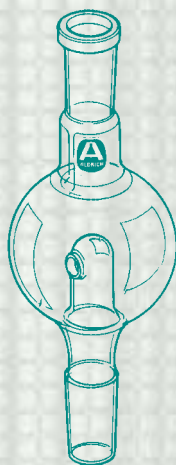
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*Please turn to page 12 for more Lab Notes.*

## Aldrich splash-guard adapters

*Antisplash, without return holes*

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|----------------|-------------------|--------------|------------------|
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| 24/40          | 24/40             | 250          | <b>Z14,779-6</b> |
| 24/40          | 24/40             | 500          | <b>Z14,781-8</b> |
| 24/40          | 14/20             | 250          | <b>Z14,782-6</b> |
| 29/32          | 29/32             | 100          | <b>Z20,344-0</b> |
| 29/32          | 29/32             | 250          | <b>Z20,327-0</b> |
| 29/32          | 29/32             | 500          | <b>Z20,328-9</b> |
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# The Chemical Vapor Deposition of Metal Nitride Films Using Modern Metalorganic Precursors

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    - 2.1.2. Synthesis of Tantalum Amide Complexes for Use as CVD Precursors
    - 2.1.3. Film Depositions Using Tantalum Amide Based Precursors
    - 2.1.4. Precursors and Film-Deposition Processes for Niobium Nitride Films
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## 1. Introduction

Metal nitrides of the formula  $M_{1.0}N_{1.0}$  ( $M = \text{Ti, Zr, Hf, V, Nb, Ta}$ ) possess a wide range of useful properties, including extreme hardness, good chemical resistance, desirable optical properties, and good electrical conductivity.<sup>1</sup> Application of a thin film of  $M_{1.0}N_{1.0}$  to a substrate can confer these characteristics to the surface of the structure. Prominent uses of metal nitride coatings include wear-resistant tool coatings,<sup>2</sup> solar-control coatings for glass,<sup>3</sup> decorative coatings,<sup>4</sup> conductive coatings,<sup>5</sup> and barrier materials in microelectronics.<sup>6,7</sup>

The most urgent application of early transition-metal nitride films is as barrier materials between copper and silicon in microelectronics devices. Copper is replacing aluminum as the interconnection material in

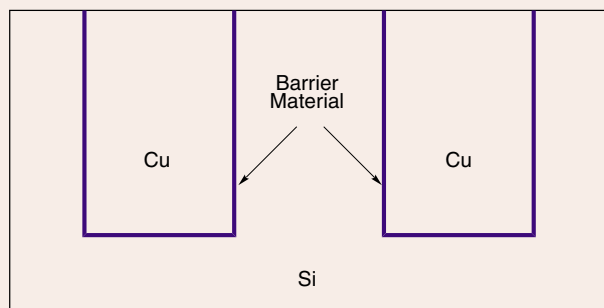
sub-0.50  $\mu\text{m}$  ultralarge-scale integrated (ULSI) devices, due to its lower electrical resistivity and fewer electromigration problems.<sup>7,8</sup> However, copper readily diffuses into silicon dioxide layers and silicon substrates under the high temperatures encountered in device fabrication. The interaction of copper with silicon at deposition temperatures leads to the formation of copper silicides as well as copper-doped silicon, both of which degrade the properties of the copper-silicon interface. Therefore, a barrier between copper and silicon is required (**Figure 1**). This barrier must stop the diffusion of copper at deposition temperatures long enough to enable manufacturing of the device, must be unreactive toward both copper and silicon, and should exhibit good adhesion to both copper and silicon. Furthermore, the barrier should be extremely thin ( $\leq 10$  nm in sub-0.25  $\mu\text{m}$  features<sup>9</sup>) to allow a sufficient amount of copper metal to be placed in the features and to reduce the electrical resistivity of the interconnect structure. Finally, the resistivity of the barrier layer should be  $< 1000 \mu\Omega\cdot\text{cm}$  to maintain excellent electrical conductivity between the copper and silicon features.

A strict requirement of the barrier material is that it must be applied with excellent conformal coverage in high-aspect-ratio features on the substrates (the aspect ratio of a feature is defined as the ratio of its depth to its width). Conformal coverage is the degree to which a film is deposited in shaped formations on the substrate. In excellent conformal coverage, the corners and bottoms of features are coated with the material equally as well as the flat portions of the substrate; in poor conformal coverage, the corners and bottoms of features may be poorly coated or not coated at all. The decreasing size of features and increasing aspect ratios in future generations of microelectronics devices imply that new deposition processes will have to be brought into production to meet the conformal

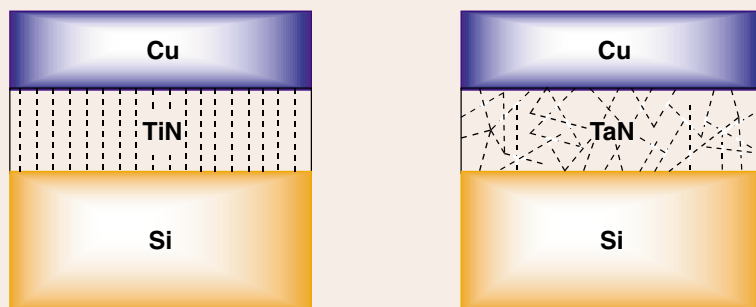


coverage requirements. Of the many film-forming processes that are available, only Chemical Vapor Deposition (CVD) can realistically deliver the conformal coverage that is needed in sub-0.25  $\mu\text{m}$  devices. CVD involves the delivery of molecules to the growing film, as opposed to atoms and small groups of atoms that are involved in physical vapor deposition (PVD) techniques. Under optimum conditions, the molecules involved in CVD are able to adsorb/desorb on the growing film many times before decomposing and promoting film growth. Therefore, extremely uniform film growth can occur under CVD conditions and nearly perfect conformal coverage can ensue. In contrast, the atoms and small groups of atoms involved in PVD processes are highly reactive and adhere strongly to growing film surfaces. As a result, high-aspect-ratio features are poorly coated by PVD techniques, especially on the sides and corners.

This account describes recent advances that have occurred in the chemical vapor deposition of metal nitride films for



**Figure 1.** Schematic Representation of a Barrier Layer Between Cu and Si.



**Figure 2.** Schematic Representation of Grain Structures in TiN and TaN Films.

application as barrier materials in microelectronics devices. The focus is on new materials, chemical precursors, and chemical questions that address the many materials issues associated with chip manufacturing. Titanium nitride (TiN) is the leading barrier material used between silicon substrates and copper and has been the most studied.<sup>9</sup> Recently, new phases such as tantalum(III) nitride (TaN), amorphous tantalum silicon nitride (Ta-Si-N), and amorphous titanium silicon nitride (Ti-Si-N) have been suggested as barrier materials that are superior to TiN. The status of chemical vapor deposition routes to these materials is described. Additionally, the status of precursors to niobium(III) nitride (NbN) films is discussed, since NbN films should exhibit chemical and physical properties that are similar to those of TaN. Finally, mechanistic studies aimed at understanding the chemical intermediates that are involved in film depositions are presented.

## 2. Discussion

While many substances have been explored as possible barrier materials, titanium nitride (TiN) has been extensively investigated and is widely regarded as the leading candidate material for a barrier between copper and silicon.<sup>7-9</sup> However, the massive research effort that has been

directed toward TiN has identified several shortcomings in its application as a barrier. TiN films are deposited with a characteristic columnar structure, in which the grain boundaries are parallel to each other and approximately perpendicular to the substrate (**Figure 2**). These grain boundaries create fast diffusion paths by which copper atoms can migrate to the silicon layer, leading to device failure.<sup>10</sup> This failure mechanism is particularly acute when thin barrier layers are used. Since future generations of microelectronics devices will require barrier layers that are  $\leq 10$  nm thick, new barrier materials will be required to solve the copper migration problem.

### 2.1. Binary Metal Nitride Films

In view of the potential problems associated with TiN, there has been a considerable research effort devoted to identifying barrier materials that are superior to TiN.<sup>6,7</sup> Recently, tantalum(III) nitride (TaN) films fabricated by PVD techniques were evaluated for their ability to act as a barrier between copper and silicon.<sup>11</sup> In general, TaN films deposited by PVD methods possess preferred (111) crystallographic orientation, exhibit close to 1:1 Ta:N stoichiometry, contain  $< 5\%$  of elements other than tantalum and nitrogen, and have resistivities of  $< 600 \mu\Omega\cdot\text{cm}$ . Significantly, a

10-nm-thick TaN film was sufficient to stop diffusion of copper into the silicon substrate after annealing at  $700^\circ\text{C}$  for 30 min.<sup>11</sup> Moreover, a 25-nm-thick TaN film prevented copper diffusion into silicon after annealing at  $800^\circ\text{C}$  for 90 min. Based upon these annealing studies, it was claimed that TaN is the best barrier material yet identified for use between copper and silicon. The excellent barrier properties of TaN films were attributed to a disordered grain boundary structure that makes copper atom diffusion through the film inefficient, compared to other barrier materials with more ordered grain structures (**Figure 2**).

Another significant advance was the discovery that M-Si-N (M = Ti, Ta, W) films are excellent barrier materials.<sup>12,13</sup> The enhanced barrier properties of the ternary materials are due to their amorphous nature, which removes low-energy diffusion paths from the barrier. Barrier failure in these materials is associated with crystallization, which provides grain boundaries for rapid copper diffusion. Remarkably, the barrier structure Si/Ta<sub>36</sub>Si<sub>14</sub>N<sub>50</sub> (120 nm)/Cu (500 nm) is stable up to  $900^\circ\text{C}$ , at which temperature crystallization and concomitant barrier failure ensue.<sup>12b</sup> While many materials and manufacturing issues remain to be resolved, it is clear that TaN based barrier materials are among the best that have been identified to date. However, a detailed evaluation of TaN and Ta-Si-N barrier materials will require that these materials be deposited in high-aspect-ratio features on the substrate with excellent conformal coverage. The conformal coverage issue, in turn, requires a low-temperature CVD process for TaN films.

#### 2.1.1. Deposition of Tantalum Nitride Films

Reports of CVD processes to tantalum nitride phases have been limited in number. The common nitride phases of tantalum have stoichiometries of Ta<sub>3</sub>N, TaN, and Ta<sub>5</sub>N<sub>5</sub>.<sup>14</sup> In CVD processes, there is an excess of the nitrogen source, and thus only the nitrogen-rich phases TaN and Ta<sub>5</sub>N<sub>5</sub> are generally observed. A difficulty with the deposition of tantalum nitride films is that the reduction of Ta(V) to Ta(III) has a very negative potential.<sup>15</sup> As outlined above, TaN is the phase with the best barrier properties; Ta<sub>5</sub>N<sub>5</sub> is an insulator with resistivities of  $> 10^6 \mu\Omega\cdot\text{cm}$ . Since volatile source compounds are only available in the Ta(V) oxidation state, Ta<sub>5</sub>N<sub>5</sub> films are frequently obtained, and it is generally difficult to deposit TaN films at low temperatures. Traditional CVD routes to tantalum nitride phases have involved the CVD reactions of TaCl<sub>5</sub> with nitrogen sources at high

temperatures. In this way, TaN films have been deposited with a mixture of N<sub>2</sub> and H<sub>2</sub> at ≥ 900 °C (**eq 1**), while the use of ammonia affords Ta<sub>3</sub>N<sub>5</sub> films at ≥ 900 °C (**eq 2**).<sup>16,17</sup> However, since semiconductor chip manufacturing has an upper temperature limit of about 400 °C, these two routes are not useful for the fabrication of microelectronics devices.

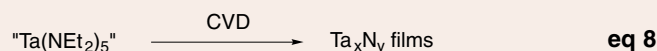
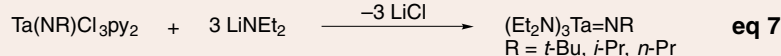
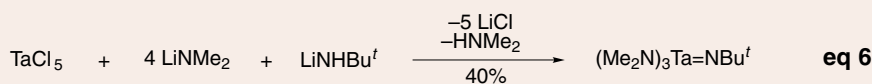
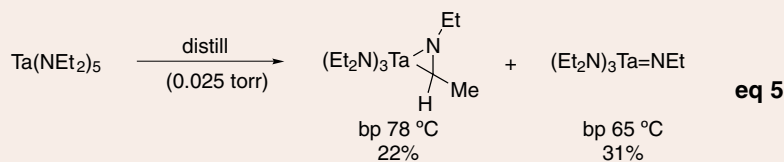
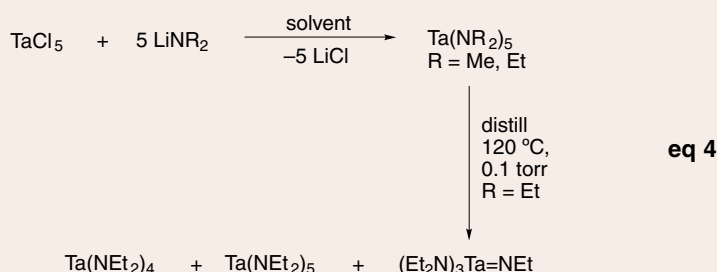
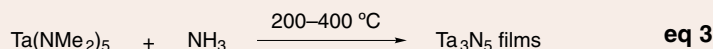
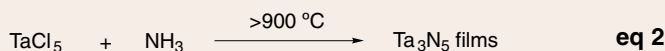
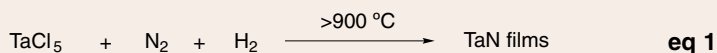
The CVD reaction of Ti(NMe<sub>2</sub>)<sub>4</sub> or Ti(NEt<sub>2</sub>)<sub>4</sub> with ammonia at 200–450 °C yields TiN films; this process has been developed into one of the leading routes to TiN films for barrier layer applications.<sup>9</sup> However, the analogous CVD process between Ta(NMe<sub>2</sub>)<sub>5</sub> and ammonia at 200–400 °C yields Ta<sub>3</sub>N<sub>5</sub> films instead (**eq 3**).<sup>18</sup>

### 2.1.2. Synthesis of Tantalum Amide Complexes for Use as CVD Precursors

Lower-temperature approaches to TaN films use dialkylamide-based complexes as single-source precursors. The complex Ta(NMe<sub>2</sub>)<sub>5</sub> can be prepared in about 30% yield by treatment of TaCl<sub>5</sub> with lithium dimethylamide (5 equiv; **eq 4**).<sup>19</sup> Ta(NMe<sub>2</sub>)<sub>5</sub> is an orange solid that can be sublimed at 90 °C/0.01 torr. While Ti(NEt<sub>2</sub>)<sub>4</sub> and higher alkyl derivatives are stable up to at least 150 °C, the tantalum(V) analogs decompose at moderate temperatures to several products. The original synthesis of Ta(NEt<sub>2</sub>)<sub>5</sub> by Bradley and Thomas demonstrated that Ta(NEt<sub>2</sub>)<sub>5</sub> could be obtained as a pure orange liquid through treatment of TaCl<sub>5</sub> with lithium diethylamide.<sup>19</sup> Ta(NEt<sub>2</sub>)<sub>5</sub> is thermally stable at ambient temperature. However, upon attempted distillation at 120 °C (0.1 torr), mixtures of Ta(NEt<sub>2</sub>)<sub>4</sub>, Ta(NEt<sub>2</sub>)<sub>5</sub>, and the imido complex (Et<sub>2</sub>N)<sub>3</sub>Ta=NEt were obtained (**eq 4**). It was proposed that, upon heating, a diethylamino radical is eliminated from Ta(NEt<sub>2</sub>)<sub>5</sub> to afford Ta(NEt<sub>2</sub>)<sub>4</sub>, which then reacts with the diethylamino radical to afford (Et<sub>2</sub>N)<sub>3</sub>Ta=NEt, diethylamine, and ethylene.

A later study by Sugiyama and coworkers found that distillation of Ta(NEt<sub>2</sub>)<sub>5</sub> afforded a pale yellow liquid from which Ta(EtNCHCH<sub>3</sub>)(NEt<sub>2</sub>)<sub>3</sub> (bp 78 °C/0.025 torr, 22% yield) and (Et<sub>2</sub>N)<sub>3</sub>Ta=NEt (bp 65 °C/0.025 torr, 31% yield) were isolated as pure materials by fractional distillation (**eq 5**).<sup>20</sup> The imino complex Ta(EtNCHCH<sub>3</sub>)(NEt<sub>2</sub>)<sub>3</sub> was stable below 100 °C, but decomposed to (Et<sub>2</sub>N)<sub>3</sub>Ta=NEt above about 120 °C. Because Sugiyama found no evidence for Ta(NEt<sub>2</sub>)<sub>4</sub> formation during the synthetic work, the prior claim for the existence of this complex<sup>19a</sup> cannot be regarded as conclusive.<sup>21,22</sup>

Several additional routes to imido complexes of the formula (R<sub>2</sub>N)<sub>3</sub>Ta=NR have been reported. Treatment of TaCl<sub>5</sub> with lithium dimethylamide (4 equiv) and



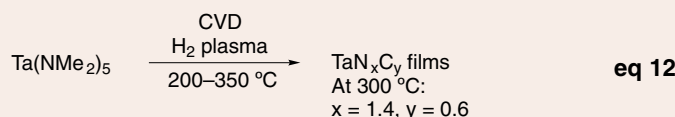
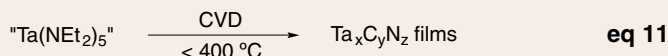
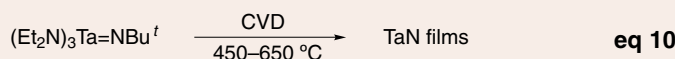
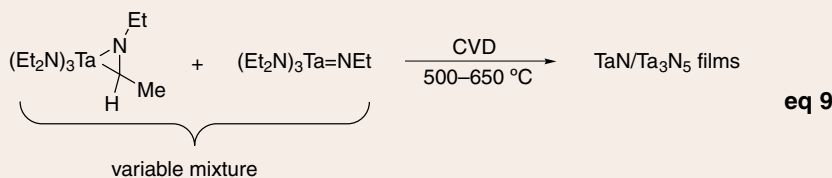
lithium *tert*-butylamide (1 equiv) afforded (Me<sub>2</sub>N)<sub>3</sub>Ta=NBu<sup>*t*</sup> in 40% yield as colorless crystals with a melting point of 68–69 °C (**eq 6**).<sup>23</sup> A crystal structure determination demonstrated that the complex was monomeric. The complexes (Et<sub>2</sub>N)<sub>3</sub>Ta=NR (R = *t*-Bu, *i*-Pr, *n*-Pr) were prepared by treatment of Ta(NR)Cl<sub>3</sub>py<sub>2</sub> with lithium diethylamide (3 equiv; **eq 7**).<sup>24</sup> The diethylamido complexes are liquids with boiling points of about 60 °C (0.1 torr).

### 2.1.3. Film Depositions Using Tantalum Amide Based Precursors

In an earlier work, Sugiyama reported that "Ta(NEt<sub>2</sub>)<sub>5</sub>" functions as a single-source precursor to tantalum nitride films, although

the phase was not identified (**eq 8**).<sup>25</sup> The chemical nature of the precursor was not discussed. Consistent with the work of Bradley<sup>19</sup> and the later report by Sugiyama,<sup>20</sup> the "Ta(NEt<sub>2</sub>)<sub>5</sub>" precursor actually consisted of a mixture of Ta(EtNCHCH<sub>3</sub>)(NEt<sub>2</sub>)<sub>3</sub> and (Et<sub>2</sub>N)<sub>3</sub>Ta=NEt.

Recently, it was reported that a mixture of Ta(EtNCHCH<sub>3</sub>)(NEt<sub>2</sub>)<sub>3</sub> and (Et<sub>2</sub>N)<sub>3</sub>Ta=NEt could be used as a precursor to tantalum nitride films.<sup>26,27</sup> Films were deposited with this precursor mixture at low pressure with substrate temperatures of 500–650 °C (**eq 9**). The bubbler containing Ta(EtNCHCH<sub>3</sub>)(NEt<sub>2</sub>)<sub>3</sub> and (Et<sub>2</sub>N)<sub>3</sub>Ta=NEt was heated to 60–100 °C to effect vapor transport with argon carrier gas. Based on Bradley's<sup>19</sup> and Sugiyama's work,<sup>20</sup> it is likely that (Et<sub>2</sub>N)<sub>3</sub>Ta=NEt was the major species that



was transported to the deposition chamber. The films thus deposited showed X-ray diffraction patterns consistent with cubic TaN, although some diffraction spectra also revealed weak and broad reflections assignable to tetragonal Ta<sub>3</sub>N<sub>5</sub>. Analysis of the films by wavelength dispersive spectroscopy revealed that the films were slightly nitrogen-rich (N/Ta 1.1–1.2) and contained a minimum of 15–20% carbon. The carbon content of the films dramatically increased (C/Ta ratios as high as 3) upon raising the deposition temperature from 500 °C to 650 °C, increasing the pressure at which the depositions were carried out, or increasing the temperature at which the precursor mixture was held. The Ta/N ratio did not vary upon changing the deposition parameters; the constant composition was attributed to the fixed Ta/N stoichiometry associated with the strong tantalum–nitrogen imido bond. A subsequent study reported that addition of hydrogen to the carrier gas mixture decreased the carbon content in the films to a constant level of 15–20%, relative to tantalum, under all deposition conditions.<sup>26</sup> It was proposed that the hydrogen in the carrier gas leads to an increase in surface-bound hydride species that can react with surface-bound hydrocarbon fragments to afford volatile neutral hydrocarbons that are swept away from the film-growth environment.

The complex (Et<sub>2</sub>N)<sub>3</sub>Ta=NBU<sup>t</sup> has also been evaluated as a precursor to TaN films (**eq 10**).<sup>28,30</sup> The motivation for using (Et<sub>2</sub>N)<sub>3</sub>Ta=NBU<sup>t</sup>, as opposed to the mixture of Ta(EtNCHCH<sub>3</sub>)(NEt<sub>2</sub>)<sub>3</sub> and (Et<sub>2</sub>N)<sub>3</sub>Ta=NEt described above, was to have a single pure precursor that did not undergo decomposition

at temperatures required for vapor transport. Film depositions were carried out at substrate temperatures of 450–650 °C and pressures of about 0.02 torr.<sup>28,29</sup> The bubbler containing (Et<sub>2</sub>N)<sub>3</sub>Ta=NBU<sup>t</sup> was heated to 30–50 °C to effect vapor transport. The growth rate of TaN films was constant at about 20 Å/min between 450 and 650 °C. The resistivity of the films was 10<sup>4</sup>–10<sup>5</sup> μΩ•cm at 450 °C, but dropped with increasing substrate temperature to about 900 μΩ•cm at 650 °C. The X-ray diffraction patterns of films deposited at 500–650 °C were consistent with the cubic TaN phase, and were made up of randomly oriented crystallites. The film deposited at 500 °C exhibited very broad reflections in the X-ray diffraction spectrum, due to an average grain size of 9.2 nm. Analysis of a film deposited at 600 °C by X-ray photoelectron spectroscopy (XPS) and Rutherford backscattering spectrometry (RBS) revealed a nitrogen-rich composition (N/Ta 1.1–1.3) with about 10% carbon and 5–10% oxygen. The carbon was present as carbide, indicating direct tantalum–carbon bonds. A film deposited at 450 °C had a composition of Ta<sub>1.0</sub>N<sub>1.4</sub>C<sub>0.7</sub>O<sub>0.2</sub>. The conformality of the films was measured in trenches with aspect ratios of 1.75:1 to 2.00:1. At 450 °C, the conformality was 100%, while at 650 °C the values were 25–40%.

A W/CVD-TaN/Si contact structure was fabricated. No tungsten encroachment was found at the bottom of the contact hole, and the structure adhered well as demonstrated by a Scotch™ tape test. An Al/CVD-TaN/Si structure was fabricated as another test for the barrier properties of the TaN layer. The failure temperature of the TaN barrier was found to be 550–600 °C. The barrier-layer

performance of CVD-TaN, deposited using (Et<sub>2</sub>N)<sub>3</sub>Ta=NBU<sup>t</sup>, against copper diffusion was compared with that of PVD-TaN.<sup>30</sup> It was found that the Cu/CVD-TaN/Si structure survived without copper diffusion up to about 550 °C, while the Cu/PVD-TaN/Si structure did not fail until about 600 °C. The increased performance of the PVD-TaN was attributed to its small grain size (20 nm) and preferred (111) crystallographic orientation. In contrast, the CVD-TaN possessed a larger grain size (50–70 nm) and a preferred (200) crystallographic orientation.

Recent work has examined the low-temperature deposition (≤ 400 °C) of tantalum nitride films using a precursor that was claimed to be "Ta(NEt<sub>2</sub>)<sub>5</sub>".<sup>31</sup> Films were deposited with substrate temperatures of 275–400 °C, a reactor pressure of 1 torr, and a vapor transport bubbler temperature of 60 °C (**eq 11**). As noted earlier, 60 °C is a sufficient temperature to cause the conversion of Ta(NEt<sub>2</sub>)<sub>5</sub> to Ta(EtNCHCH<sub>3</sub>)(NEt<sub>2</sub>)<sub>3</sub> and (Et<sub>2</sub>N)<sub>3</sub>Ta=NEt;<sup>19,20</sup> thus, there is some ambiguity as to the nature of the source compound in this work. Films deposited in this study exhibited resistivities of about 6000 μΩ•cm at 400 °C; the resistivities increased with decreasing deposition temperature. A film deposited at 400 °C had a carbon-rich composition of Ta<sub>1.0</sub>C<sub>1.0</sub>N<sub>0.5</sub>O<sub>0.1</sub>, in which the carbon was present predominantly as carbide (XPS analysis). The X-ray diffraction pattern showed a very broad reflection at 2θ = 35° that could be attributed to the (111) plane of TaC or TaN. It was proposed that the film consisted of a Ta(CN) phase, rather than a mixture of TaC and TaN, due to the very high resistivity of the film and the very low resistivities of TaC and TaN. Analysis of a film deposited at 350 °C by transmission electron microscopy revealed an average grain size of about 30 Å.

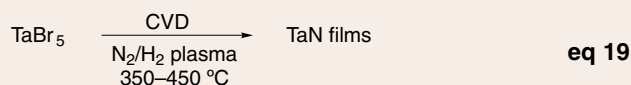
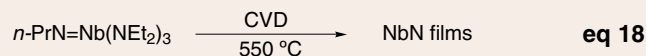
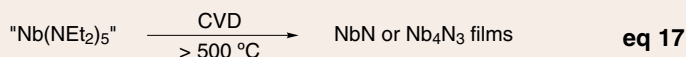
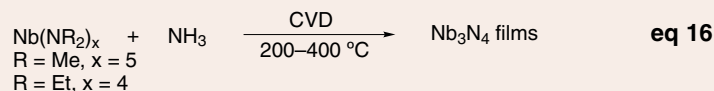
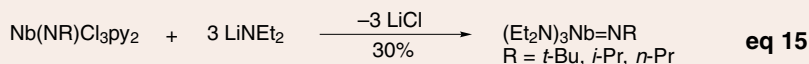
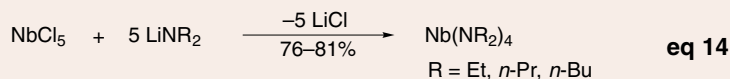
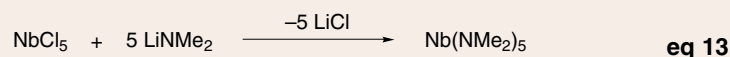
Han et al. have recently reported the deposition of amorphous films derived from activation of Ta(NMe<sub>2</sub>)<sub>5</sub> with a remote hydrogen plasma (**eq 12**).<sup>32</sup> Depositions were conducted on silicon substrates at 200–350 °C and ~1 torr. Ta(NMe<sub>2</sub>)<sub>5</sub> was maintained in a bubbler held at 80 °C and was carried by argon. A film deposited at 300 °C had a stoichiometry of Ta<sub>1.0</sub>N<sub>1.4</sub>C<sub>0.6</sub>, demonstrating that the film was both nitrogen- and carbon-rich. XPS indicated that the carbon was present predominantly in carbide form, with the remainder being attributed to hydrocarbons. It was suggested that the hydrocarbon residues originated from incomplete decomposition of the precursor. The films were found to be amorphous by X-ray diffraction and electron microscopy. The lowest film resistivities were 2000 μΩ•cm, and were obtained at a substrate temperature

of 350 °C. The step coverage for films deposited in contact holes with an aspect ratio of 3:1 was nearly 100%. The as-deposited films remained amorphous up to 1000 °C, at which temperature crystallization ensued. These films served as effective barriers against the diffusion of platinum into silicon substrates at temperatures of up to 700 °C. A subsequent study found that use of a remote ammonia plasma instead of the remote hydrogen plasma led to a lower nitrogen and carbon content in the films.<sup>33</sup> For example, a film deposited at 300 °C with the remote ammonia plasma had a stoichiometry of Ta<sub>1.0</sub>N<sub>1.1</sub>C<sub>0.51</sub>, and an X-ray diffraction spectrum that revealed the presence of cubic TaN with a preferred orientation along the (111) axis. Films deposited with the ammonia plasma showed larger crystallites, an observation that is consistent with the sharp reflections seen in the X-ray diffraction spectrum.

To summarize, amido precursors that have been evaluated as precursors to tantalum nitride films include Ta(NMe<sub>2</sub>)<sub>5</sub>, mixtures of Ta(EtNCHCH<sub>3</sub>)(NEt<sub>2</sub>)<sub>3</sub> and (Et<sub>2</sub>N)<sub>3</sub>Ta=NEt, and (Et<sub>2</sub>N)<sub>3</sub>Ta=NBu'. Several groups have claimed to use "Ta(NEt<sub>2</sub>)<sub>5</sub>" as a source compound, but it has been demonstrated that "Ta(NEt<sub>2</sub>)<sub>5</sub>" is unstable at ambient temperature and decomposes to variable mixtures of Ta(EtNCHCH<sub>3</sub>)(NEt<sub>2</sub>)<sub>3</sub> and (Et<sub>2</sub>N)<sub>3</sub>Ta=NEt. Use of "Ta(NEt<sub>2</sub>)<sub>5</sub>", mixtures of Ta(EtNCHCH<sub>3</sub>)(NEt<sub>2</sub>)<sub>3</sub> and (Et<sub>2</sub>N)<sub>3</sub>Ta=NEt, or (Et<sub>2</sub>N)<sub>3</sub>Ta=NBu' as precursors yields cubic TaN films, but these films contain a minimum of 15–20% carbon relative to tantalum. Additionally, mixtures of cubic TaN and tetragonal Ta<sub>3</sub>N<sub>5</sub> phases have been observed in some depositions using these precursors. The CVD process using Ta(NMe<sub>2</sub>)<sub>5</sub> and ammonia yields Ta<sub>3</sub>N<sub>5</sub> films. Activation of Ta(NMe<sub>2</sub>)<sub>5</sub> with a remote hydrogen plasma leads to carbon-contaminated Ta<sub>3</sub>N<sub>5</sub> films, while use of the same tantalum source with a remote ammonia plasma gives carbon-rich TaN films.

#### 2.1.4. Precursors and Film-Deposition Processes for Niobium Nitride Films

Although cubic NbN is a metallic nitride that is isostructural with TaN, the low-temperature CVD routes to NbN have been studied less than those to TaN. The chemistry of niobium dialkylamide complexes is different from that observed for tantalum dialkylamides,<sup>34–36</sup> and reflects the greater ease with which Nb(V) is reduced to Nb(IV).<sup>15</sup> Like Ta(NMe<sub>2</sub>)<sub>5</sub>, Nb(NMe<sub>2</sub>)<sub>5</sub> can be prepared as a brown solid upon treatment of NbCl<sub>5</sub> with lithium dimethylamide (**eq 13**).<sup>34</sup> Nb(NMe<sub>2</sub>)<sub>5</sub> sublimes at 100 °C/0.1 torr, but partial decom-



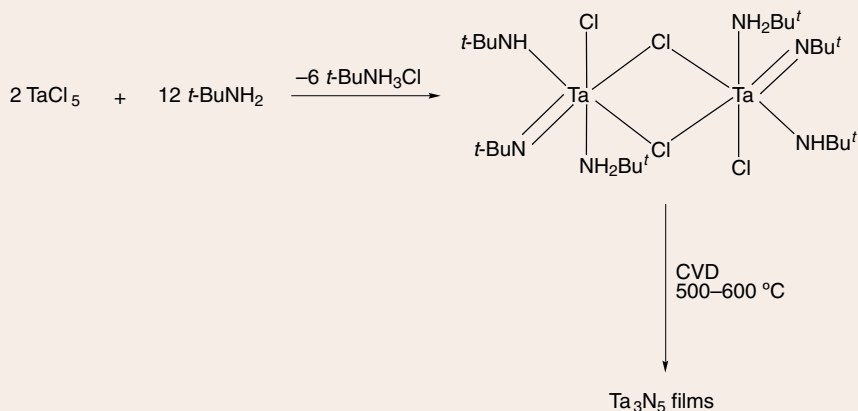
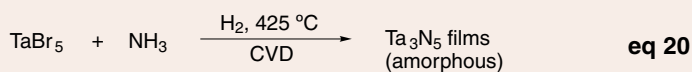
position (30–40%) is observed during the sublimation. Upon treatment of NbCl<sub>5</sub> with lithium diethylamide, lithium di-*n*-propylamide, or lithium di-*n*-butylamide, however, complexes of the formula Nb(NR<sub>2</sub>)<sub>4</sub> (R = Et, *n*-Pr, *n*-Bu) are obtained as dark-red liquids in 76–81% yields after distillation (**eq 14**). There was no evidence for the formation of Nb(EtNCHCH<sub>3</sub>)(NEt<sub>2</sub>)<sub>3</sub> and (Et<sub>2</sub>N)<sub>3</sub>Nb=NEt during the preparation of Nb(NR<sub>2</sub>)<sub>4</sub>. Imido complexes of the formula (Et<sub>2</sub>N)<sub>3</sub>Nb=NR (R = *t*-Bu, *i*-Pr, *n*-Pr) have been prepared in about 30% yields by treatment of Nb(NR)Cl<sub>3</sub>py<sub>2</sub> (py = pyridine) with lithium diethylamide (**eq 15**).<sup>37</sup> The complexes are yellow liquids that distill at about 60 °C/0.1 torr.

As in the case of TaN, routes to NbN films using NbCl<sub>5</sub> as the niobium source proceeded only at high temperatures.<sup>16</sup> The CVD process involving the gas-phase reaction of Nb(NEt<sub>2</sub>)<sub>4</sub> or Nb(NMe<sub>2</sub>)<sub>5</sub> with ammonia yielded amorphous niobium(IV) nitride (Nb<sub>3</sub>N<sub>4</sub>) films, rather than the NbN phase (**eq 16**).<sup>18</sup> Sugiyama and coworkers reported that use of "Nb(NEt<sub>2</sub>)<sub>5</sub>" as a single-source precursor led to the deposition of NbN or Nb<sub>4</sub>N<sub>3</sub> films at substrate temperatures of > 500 °C (**eq 17**).<sup>25</sup> However, no further details were given on the properties of the film. As noted previously, "Nb(NEt<sub>2</sub>)<sub>5</sub>" is not a stable complex, and the

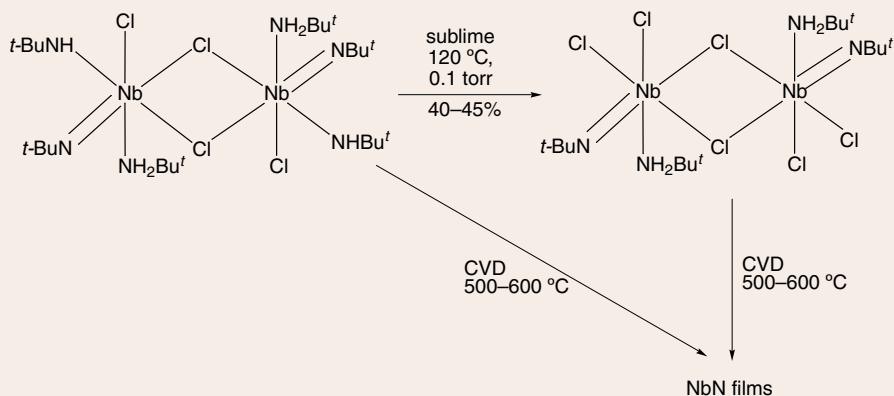
authors were most likely using Nb(NEt<sub>2</sub>)<sub>4</sub> as the source compound. The complex (Et<sub>2</sub>N)<sub>3</sub>Nb=NPr<sup>38</sup> was also evaluated as a precursor to NbN films (**eq 18**).<sup>37</sup> A film deposited with a substrate temperature of 550 °C and a reactor pressure of 0.01 torr had a stoichiometry of Nb<sub>1.0</sub>N<sub>0.7</sub>C<sub>0.2</sub>O<sub>0.2</sub>. The X-ray diffraction spectrum was consistent with the cubic NbN phase. XPS studies indicated that the carbon and oxygen in the film were present as carbide and oxide, respectively.

#### 2.1.5. Low-Temperature Film Depositions Using Tantalum Pentabromide

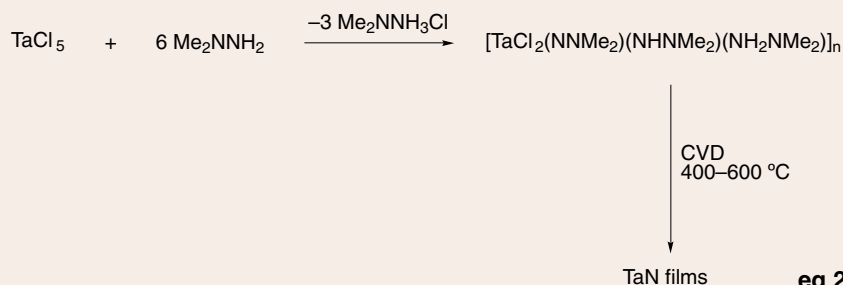
Cubic TaN films have been deposited with TaBr<sub>5</sub> as the tantalum source using plasma-assisted CVD (**eq 19**).<sup>38</sup> TaBr<sub>5</sub> was chosen as the tantalum source, since it was hypothesized that the weaker tantalum–bromine bonds, as compared to the stronger tantalum–chlorine bonds in TaCl<sub>5</sub>, would lead to lower-temperature depositions of TaN. Moreover, the larger size of the bromide ion, as compared to the chloride ion, would lead to a very low diffusion constant for bromine in the film, assuring that any residual bromine in the TaN film does not interfere with the properties of the barrier structure. The plasma-assisted CVD was carried out at 350–450 °C and 0.9–1.6 torr using a mixture of nitrogen and



eq 21



eq 22



eq 23

hydrogen as the plasma gas. X-ray diffraction spectra indicated that cubic TaN was obtained. The stoichiometry of the films was  $\text{Ta}_{1.0}\text{N}_{1.0}$  with < 3% bromine, as determined by Auger spectroscopy and RBS. The resistivity of the films was as low as  $150 \mu\Omega\cdot\text{cm}$ , which is similar to values for high-quality TaN films

obtained by PVD methods.<sup>11</sup> The step coverage in a  $0.3\text{-}\mu\text{m}$ -diameter trench with an aspect ratio of 4.5:1 was 95%. Accordingly, excellent-quality TaN films are obtained at low substrate temperatures from this process.

The thermal CVD reaction using  $\text{TaBr}_5$ , ammonia, and hydrogen as source compounds

has also been reported (eq 20).<sup>39,40</sup> Amorphous films with a composition of  $\text{Ta}_{1.00}\text{N}_{1.83}$  were obtained at  $425^\circ\text{C}$  and 0.4 torr. The resistivity of the films was about  $2500 \mu\Omega\cdot\text{cm}$ . Upon annealing at  $650\text{--}700^\circ\text{C}$ , the films crystallized to the hexagonal  $\text{Ta}_3\text{N}_5$  phase. Since the stoichiometry of the amorphous film was close to that expected for  $\text{Ta}_3\text{N}_5$ , and this phase crystallizes predominantly upon annealing, it is likely that the amorphous material corresponds predominantly to  $\text{Ta}_3\text{N}_5$ . The amorphous  $\text{Ta}_{1.00}\text{N}_{1.83}$  films were evaluated as barrier materials against copper diffusion into silicon substrates, and were found to fail above  $550^\circ\text{C}$ .

#### 2.1.6. Synthesis and Evaluation of Precursors Derived from Tantalum Pentachloride

Our group has explored the use of compounds derived from the treatment of niobium and tantalum pentachlorides with primary amines and 1,1-dialkylhydrazines as precursors to metal nitride phases.<sup>41,42</sup> As first reported by Nielson and coworkers,<sup>43</sup> treatment of  $\text{TaCl}_5$  with *tert*-butylamine affords the dimeric, chloride-bridged imido complex  $[\text{TaCl}_2(\text{NBu}^t)(\text{NHBu}^t)(\text{NH}_2\text{Bu}^t)]_2$  (eq 21). We found that this complex existed as three major and two minor isomers in chloroform solution, apparently due to the isomerism of the nitrogen ligands about the coordination sphere of the dimeric unit.<sup>41</sup>  $[\text{TaCl}_2(\text{NBu}^t)(\text{NHBu}^t)(\text{NH}_2\text{Bu}^t)]_2$  sublimed at  $120^\circ\text{C}/0.1 \text{ torr}$  without decomposition, and was evaluated as a precursor to tantalum nitride films.<sup>42</sup> Sublimation of this precursor into a hot-walled CVD reactor held at  $500$  or  $600^\circ\text{C}$  led to the deposition of yellow-brown films on glass and silicon substrates; these films were identified as  $\text{Ta}_3\text{N}_5$  by their X-ray powder diffraction patterns. Interestingly, the analogous niobium complex,  $[\text{NbCl}_2(\text{NBu}^t)(\text{NHBu}^t)(\text{NH}_2\text{Bu}^t)]_2$ , afforded cubic NbN films in the same reactor at substrate temperatures of  $\geq 500^\circ\text{C}$  (eq 22).<sup>42</sup> However,  $[\text{NbCl}_2(\text{NBu}^t)(\text{NHBu}^t)(\text{NH}_2\text{Bu}^t)]_2$  decomposes to  $[\text{NbCl}_3(\text{NBu}^t)(\text{NH}_2\text{Bu}^t)]_2$  upon sublimation,<sup>41</sup> thus, the basic chemical behaviors of the niobium and tantalum precursors are quite different.

A tantalum complex of the formulation  $[\text{TaCl}_2(\text{NNMe}_2)(\text{NHNMe}_2)(\text{NH}_2\text{NMe}_2)]_n$  was prepared in quantitative yield by treatment of  $\text{TaCl}_5$  with 1,1-dimethylhydrazine in dichloromethane (eq 23).<sup>42,44</sup> This complex sublimed at  $150\text{--}175^\circ\text{C}/0.1 \text{ torr}$  without decomposition. Slow sublimation of this complex into a hot-walled CVD reactor held at  $400$ ,  $500$ , or  $600^\circ\text{C}$  resulted in the formation of silver-colored TaN films. The films were smooth and highly adherent, as demonstrated by a Scotch™ tape test.

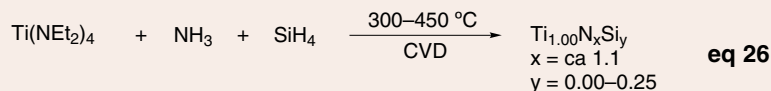
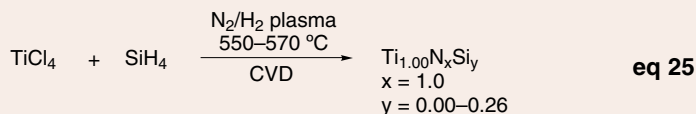
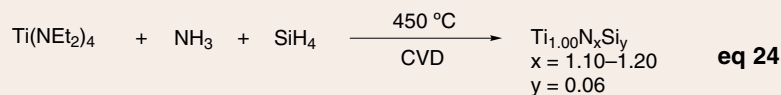
The X-ray diffraction spectra of the films revealed the cubic TaN phase with preferred orientation along the (200) plane. XPS and RBS measurements gave a stoichiometry of about  $Ta_{1.0}N_{1.1}$ , with an oxygen content of about 6% and a carbon content below the detection limits of these techniques (< 5%). Electron micrographs showed a smooth surface, with particle sizes between 50–200 Å. The resistivity of a film deposited at 600 °C was  $2.1 \times 10^5 \mu\Omega\cdot\text{cm}$ .

## 2.2. Ternary Nitride Films

In recent years, the amorphous ternary nitrides M–Si–N (M = Ti, Ta, Mo, W) have been demonstrated as excellent barrier materials between copper and silicon for use in microelectronics devices.<sup>12,13,45–49</sup> The advantage of these amorphous materials over TiN, TaN, and other crystalline nitrides is the lack of grain boundaries to provide fast diffusion pathways for copper atoms to migrate into the silicon substrate. The failure of M–Si–N barrier materials is associated with crystallization, which supports the idea that copper atoms and other metal atoms migrate along grain boundaries. The first work in this area was reported by Nicolet,<sup>12</sup> who found that amorphous Ta–Si–N films fabricated by sputtering served as barriers between copper and silicon. Most of the work reported to date has used M–Si–N films that are deposited using PVD methods.<sup>45,46</sup> PVD methods are generally "line-of-sight" in nature, and do not afford high conformal coverage of shaped features on substrates. As noted earlier, barrier materials for microelectronics applications must be applied with excellent conformal coverage to silicon substrates to avoid copper diffusion into the silicon substrate and concomitant device failure. Accordingly, there has been significant interest in the development of CVD processes for the deposition of M–Si–N films.

To date, there have been no reports of CVD routes to Ta–Si–N films. This lack of activity reflects in large part the absence of a good low-temperature thermal CVD route to TaN films. Nicolet has found that Ta–Si–N has the highest barrier failure temperature of any ternary nitride that has been studied (900 °C), so there is keen interest in CVD routes to this material.<sup>12,45</sup> The potential importance of Ta–Si–N barrier materials provides a strong impetus for new research in this area.

While Ta–Si–N was found to have the highest barrier failure temperature, Ti–Si–N films have barrier properties that approach those exhibited by Ta–Si–N.<sup>12,45</sup> For example, Nicolet found that a Ti–Si–N structure failed at 850 °C, which is very close to the failure temperature of a Cu/Ta–Si–N/Si structure



(900 °C).<sup>12c</sup> Since the CVD reaction of  $\text{Ti}(\text{NR}_2)_4$  with ammonia has been developed as a low-temperature process for TiN films,<sup>9</sup> a basis exists for the exploration of Ti–Si–N films by CVD.

The first description of a CVD route to Ti–Si–N films was reported by Raaijmakers.<sup>47</sup> The standard CVD process for the low-temperature deposition of conformal TiN— $\text{Ti}(\text{NEt}_2)_4$  plus ammonia at 450 °C—was modified by the addition of silane ( $\text{SiH}_4$ ). Deposition on silicon substrates at 450 °C led to highly adherent Ti–Si–N films that contained about 6% silicon (**eq 24**). In addition, low carbon (0.5%) and oxygen (1%) levels were observed. The film was amorphous by X-ray diffraction. While TiN films deposited from  $\text{Ti}(\text{NEt}_2)_4$  and ammonia possessed resistivities of about  $270 \mu\Omega\cdot\text{cm}$ , the amorphous Ti–Si–N film was found to have a resistivity of  $9400 \mu\Omega\cdot\text{cm}$ .

Ti–Si–N films with a silicon content of 16% were fabricated by a plasma-assisted CVD process involving  $\text{TiCl}_4$ , nitrogen, hydrogen, and  $\text{SiH}_4$  as the reactants (**eq 25**).<sup>48</sup> The reactants were activated by a dc glow discharge, and the films were deposited on steel substrates that were heated to 500 °C. The films were crystalline by X-ray diffraction, and exhibited preferred growth along the (200) plane. Unlike TiN deposited from  $\text{TiCl}_4$ , nitrogen, and hydrogen by plasma-assisted CVD, the Ti–Si–N film containing 16% silicon did not reveal a detectable columnar structure in cross-section electron micrographs. Additionally, the grain size in the Ti–Si–N films was smaller than that of TiN films deposited by plasma-assisted CVD. Interestingly, the Ti–Si–N films were harder and more resistant to oxidation by the ambient atmosphere than TiN films prepared by plasma-assisted CVD. A recent report has

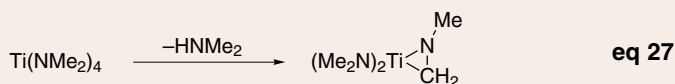
described the thermal CVD of Ti–Si–N films from  $\text{TiCl}_4$ , ammonia, and  $\text{SiH}_2\text{Cl}_2$  or  $\text{TiCl}_4$ , nitrogen, hydrogen, and  $\text{SiH}_2\text{Cl}_2$ .<sup>49</sup>

Smith and Custer have described the deposition of Ti–Si–N films containing variable amounts of silicon through the thermal CVD reaction between  $\text{Ti}(\text{NEt}_2)_4$ , ammonia, and  $\text{SiH}_4$  (**eq 26**).<sup>13</sup> Films were grown in a warm-walled reactor with silicon substrate temperatures between 300–450 °C and a working pressure of 20 torr. The films were nitrogen-rich, and contained 0–25% silicon, depending on the amount of  $\text{SiH}_4$  added to the reactant stream. The only contaminants observed in the films were carbon (<1.5%) and hydrogen (5–15%). Film resistivity increased exponentially with increasing silicon content. The lowest film resistivities were obtained with a substrate temperature of 350 °C, and ranged between  $400 \mu\Omega\cdot\text{cm}$  for pure TiN to  $1 \Omega\cdot\text{cm}$  for Ti–Si–N containing 25% silicon. It was suggested that the low resistivities observed at 350 °C were due to the nitrogen content in the films being the closest to the idealized value of 1:1 for TiN. Higher-resistivity films deposited at 300, 400, or 450 °C possessed 8–15% excess nitrogen. Transmission electron microscopy demonstrated that the films consisted of about 6-nm nanocrystallites of TiN embedded in an amorphous Ti–Si–N matrix. Deposition of  $\text{Ti}_{0.46}\text{N}_{0.51}\text{Si}_{0.03}$  films with resistivities of  $\leq 800 \mu\Omega\cdot\text{cm}$  on shaped substrates at 350 °C afforded step coverages of 60% on a 0.2- $\mu\text{m}$  feature with an aspect ratio of 6:1, 75% on a 0.35- $\mu\text{m}$  feature with an aspect ratio of 3:1, and 35–40% on a 0.1- $\mu\text{m}$  feature with an aspect ratio of 10:1. Accordingly, this process provides very good conformal coverage and may provide acceptable films of barrier material in sub-0.18  $\mu\text{m}$  features.

**Table 1. Summary of Current CVD Techniques for Depositing Metal Nitride Films**

| Precursor(s)                                                                                                  | Deposition Temp. (°C) | Deposition Pressure (torr) | Film Deposited <sup>a</sup>                   | Ref.  |
|---------------------------------------------------------------------------------------------------------------|-----------------------|----------------------------|-----------------------------------------------|-------|
| "Ta(NEt <sub>2</sub> ) <sub>5</sub> "                                                                         | 500                   | not given                  | unidentified                                  | 25    |
| Ta(EtNCHCH <sub>3</sub> ) (NEt <sub>2</sub> ) <sub>3</sub><br>+ (NEt <sub>2</sub> ) <sub>3</sub> Ta=NEt       | 500–650               | 0.3–1.0                    | cubic TaN<br>+ Ta <sub>3</sub> N <sub>5</sub> | 26,27 |
| (NEt <sub>2</sub> ) <sub>3</sub> Ta=NBu <sup>t</sup>                                                          | 450–650               | 0.02                       | cubic TaN                                     | 28–30 |
| "Ta(NEt <sub>2</sub> ) <sub>5</sub> "                                                                         | 275–400               | 1                          | Ta <sub>x</sub> C <sub>y</sub>                | 31    |
| Ta(NMe <sub>2</sub> ) <sub>5</sub> / H <sub>2</sub> or NH <sub>3</sub> plasma                                 | 200–350               | 1                          | Ta <sub>x</sub> C <sub>y</sub>                | 32    |
| Nb(NEt <sub>2</sub> ) <sub>4</sub> or Nb(NMe <sub>2</sub> ) <sub>5</sub> + NH <sub>3</sub>                    | 200–450               | 760                        | Nb <sub>3</sub> N <sub>4</sub>                | 18    |
| "Nb(NEt <sub>2</sub> ) <sub>5</sub> "                                                                         | 500                   | not given                  | NbN or Nb <sub>4</sub> N <sub>3</sub>         | 25    |
| (NEt <sub>2</sub> ) <sub>3</sub> Nb=NPr <sup>i</sup>                                                          | 550                   | 0.01                       | cubic NbN                                     | 37    |
| TaBr <sub>5</sub> , N <sub>2</sub> /H <sub>2</sub> plasma                                                     | 350–450               | 0.9–1.6                    | cubic TaN                                     | 38    |
| TaBr <sub>5</sub> + NH <sub>3</sub> + H <sub>2</sub>                                                          | 425                   | 0.4                        | Ta <sub>3</sub> N <sub>5</sub>                | 39,40 |
| [TaCl <sub>2</sub> (NBu <sup>t</sup> )(NHBu <sup>t</sup> )(NH <sub>2</sub> Bu <sup>t</sup> )] <sub>2</sub>    | 500–600               | 0.1                        | Ta <sub>3</sub> N <sub>5</sub>                | 42    |
| [NbCl <sub>2</sub> (NBu <sup>t</sup> )(NHBu <sup>t</sup> )(NH <sub>2</sub> Bu <sup>t</sup> )] <sub>2</sub>    | 500–600               | 0.1                        | cubic NbN                                     | 42    |
| [TaCl <sub>2</sub> (NNMe <sub>2</sub> )(NHNMe <sub>2</sub> )(NH <sub>2</sub> NMe <sub>2</sub> )] <sub>2</sub> | 400–600               | 0.1                        | cubic TaN                                     | 42,44 |
| Ti(NEt <sub>2</sub> ) <sub>4</sub> + NH <sub>3</sub> + SiH <sub>4</sub>                                       | 300–450               | 20                         | Ti–Si–N                                       | 13,47 |
| TiCl <sub>4</sub> + N <sub>2</sub> + H <sub>2</sub> + SiH <sub>4</sub><br>dc glow discharge                   | 850–1100              | 0.2                        | Ti–Si–N                                       | 48,49 |

<sup>a</sup> The compositions and properties of the films obtained are critically dependent on the nature of the source compound and the deposition conditions.



### 3. Conclusions and Prospects

This account has summarized the current state of film depositions by CVD techniques for TaN, Ta–Si–N, and related nitride materials that are candidates for advanced barrier layers between copper and silicon in future generations of microelectronics devices (Table 1). Precursors that have been used to deposit the cubic TaN phase under thermal CVD conditions include a mixture of imino and imido complexes derived from the decomposition of Ta(NEt<sub>2</sub>)<sub>5</sub>, imido complexes of the formula (Et<sub>2</sub>N)<sub>3</sub>Ta=NR, as well as imido complexes derived from treatment of TaCl<sub>5</sub> with primary alkylamines. Serious drawbacks of complexes bearing diethylamido ligands include variable selectivity for the cubic TaN phase over the tetragonal Ta<sub>3</sub>N<sub>5</sub> phase, incorporation of significant amounts (15–20 atom %) of carbon and oxygen into the film, and film resistivities that are too high for use

as barrier layers (generally > 2000 μΩ•cm). The incorporation of carbon into TiN films from Ti(NR<sub>2</sub>)<sub>4</sub> precursors has been proposed to occur through intramolecular β-hydrogen activation, which leads to a species with a direct titanium–carbon bond (eq 27).<sup>50</sup> It is necessary to add a large excess of ammonia to the Ti(NR<sub>2</sub>)<sub>4</sub> precursor stream to reduce the carbon incorporation in the resultant films to low levels (< 10%).<sup>9</sup> Since a similar process is operant in the formation of Ta(EtNCHCH<sub>3</sub>)(NEt<sub>2</sub>)<sub>3</sub> from Ta(NEt<sub>2</sub>)<sub>5</sub>,<sup>20</sup> it is likely that carbon incorporation in TaN films derived from dialkylamido-based precursors occurs through intermediate species with tantalum–carbon bonds. Intramolecular β-hydrogen activation appears to be a characteristic reaction path for early transition-metal dialkylamido complexes; therefore, it is likely that significant carbon incorporation will be observed when such compounds are used in

CVD. Because MN film resistivity increases dramatically with impurity incorporation, TaN films with resistivities below 1000 μΩ•cm may not be accessible from these precursors. Addition of ammonia to precursor streams of tantalum dialkylamido compounds may lower the carbon content, but it is very likely that the high-resistivity Ta<sub>3</sub>N<sub>5</sub> phase would result—in analogy with the CVD of Ta<sub>3</sub>N<sub>5</sub> films from Ta(NMe<sub>2</sub>)<sub>5</sub> and ammonia.<sup>18</sup>

While the resistivity of the TaN films deposited from [TaCl<sub>2</sub>(NNMe<sub>2</sub>)(NHNMe<sub>2</sub>)(NH<sub>2</sub>NMe<sub>2</sub>)]<sub>n</sub> is too high for barrier-layer applications, the deposition of high-purity cubic TaN films in a thermal CVD process at temperatures as low as 400 °C is significant. Additionally, the fact that [TaCl<sub>2</sub>(NNMe<sub>2</sub>)(NHNMe<sub>2</sub>)(NH<sub>2</sub>NMe<sub>2</sub>)]<sub>n</sub> leads to TaN films at substrate temperatures that are up to 600 °C lower than that observed for [TaCl<sub>2</sub>(NBu<sup>t</sup>)(NHBu<sup>t</sup>)(NH<sub>2</sub>Bu<sup>t</sup>)]<sub>2</sub> argues that hydrazido ligands are powerful reducing agents that greatly facilitate the Ta(V)–Ta(III) reduction.<sup>44</sup> The reducing ability of hydrazine-derived ligands could be used to devise new classes of precursors for the low-temperature CVD of TaN.

The plasma-assisted process involving TaBr<sub>5</sub>, nitrogen, and hydrogen provides the

highest-quality TaN films that are available from any CVD route reported to date.<sup>39</sup> The TaN films are stoichiometric, have resistivities that are comparable to high-quality films obtained by PVD methods,<sup>11</sup> can be deposited with excellent conformal coverage in high-aspect-ratio features, and are deposited at temperatures that are compatible with microelectronics device manufacturing ( $\leq 400$  °C). Potential drawbacks include the necessity of the plasma processing as well as the presence of small amounts of bromine in the films. Plasma-assisted CVD requires more expensive and elaborate deposition equipment than thermal CVD, and there is potential for damage of the microelectronics device being fabricated due to the highly energetic chemical species that are generated through the plasma activation. The presence of bromine atoms or hydrogen bromide in the films could lead to degradation of the interface between the TaN films and the copper or silicon layers. The use of the plasma is essential to obtaining TaN films, since the thermal CVD process involving TaBr<sub>5</sub> and ammonia affords Ta<sub>3</sub>N<sub>5</sub> films instead.<sup>40</sup>

The lack of a low-temperature thermal CVD process to high-quality TaN films has so far prevented the development of a CVD process to amorphous Ta-Si-N films. The analogous material Ti-Si-N has been deposited by CVD through modification of two existing processes to TiN films by adding SiH<sub>4</sub> or SiH<sub>2</sub>Cl<sub>2</sub> to the precursor streams.<sup>13,47,48</sup> The process using Ti(NEt<sub>3</sub>)<sub>3</sub>, ammonia, and SiH<sub>4</sub> provides low-resistivity, highly conformal Ti-Si-N coatings with properties that appear to be acceptable for barrier-layer applications.<sup>13,47</sup>

The development of new precursors for the deposition of metal nitride films for barrier layer applications presents many challenges for the chemist. To be useful in semiconductor manufacturing, new processes to metal nitride films must afford high-purity, low-resistivity, and highly conformal coatings at deposition temperatures of  $\leq 400$  °C. Furthermore, new precursors must be prepared in high yields and high purities by efficient syntheses, and should be liquids at ambient temperature in order to maintain constant surface areas for achieving steady vapor transport from stainless steel bubblers used to contain source compounds. To address these goals, it will be necessary to identify ligands that lead to minimum carbon incorporation in the metal nitride films, and which help to promote reduction of the Ta(III) oxidation state, while still providing high-quality TaN and related thin-film materials. Advances in deposition techniques would also aid in the fabrication of new tantalum-based barrier materials.

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## About the Author

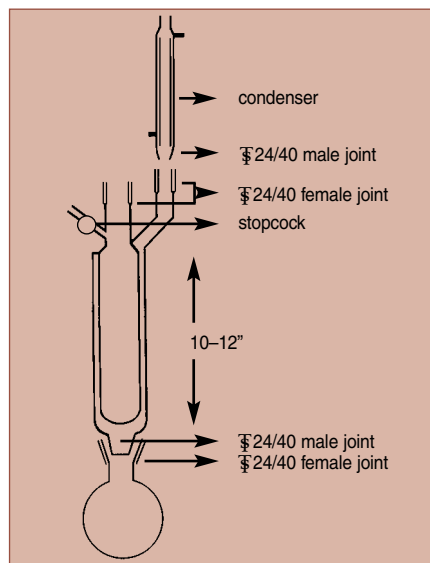
Charles H. Winter was born in 1959 in Grand Rapids, Michigan, and grew up in Portage, Michigan, where he attended public schools. He obtained a B.S. degree from Hope College in 1982. While at Hope College, he was introduced to organometallic chemistry through undergraduate research with Professor Michael P. Doyle. He then went on to the University of Minnesota, and obtained his doctoral degree in 1986 under the direction of the late Professor Paul G. Gassman. After an NIH postdoctoral fellowship with Professor John A. Gladysz at the University of Utah, he joined the faculty at Wayne State University in 1988. He is now Professor of Chemistry.

Professor Winter's research interests include synthetic inorganic and organometallic chemistry, as well as chemical vapor deposition. A particular research emphasis in his laboratory is the development of new source compounds for use in CVD processes. Materials systems for which new precursors are being developed include group 4 and 5 nitrides for application as barrier materials in microelectronics devices, lanthanide compounds for application in infrared photonic devices, and magnesium compounds for devices that emit blue and green light. In the area of compound semiconductors, the development of precursors that are designed to decompose under X-ray irradiation is also underway. In addition to research directed toward CVD, Professor Winter maintains significant basic research projects involving the synthesis and properties of metal complexes with new nitrogen-donor ligands, metallocenes and aromatic compounds substituted with unusual main group elements, as well as fundamental chemistry of the group 13 elements. He has been a mentor to 22 doctoral students and is the author of more than 95 publications.



Lab Notes, continued from page 2.

## Balki's Modified Abderhalden Drying Apparatus



An innovative and convenient apparatus has been designed, which is especially useful for manipulating air-sensitive compounds.

Because of its horizontal construction, the traditional Abderhalden drying apparatus has certain disadvantages, such as the possibility of sample spillage and the inconvenience of drying liquid samples (that cannot be distilled).

In this modified apparatus, the sample—which is most often stored in a slim, screw-cap vial—is conveniently lowered into the apparatus with the help of long tweezers, forceps (Cat. No. Z22,560-6 or Z22,561-4), or a copper wire that is tied around the neck of the vial.

This modified Abderhalden drying apparatus is very advantageous to use in many applications: It makes it convenient to add or remove solvent or solid materials, and is ideal for carrying out reactions in NMR tubes and for preparing NMR samples after drying the solid samples in the NMR tubes. We have also been successful in growing single crystals of air-sensitive samples using this setup.

I hope that this modification to a widely used apparatus will prove helpful to research scientists all over the world.

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# Products for the Deposition of Metal Nitride Films

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## Niobium, Tantalum, and Silicon Compounds



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**33,660-2** Niobium(V) chloride, 99.9+%  
**21,579-1** Niobium(V) chloride, 99%  
**40,046-7** Tantalum(V) bromide, -8 mesh, 98%  
**51,068-8** Tantalum(V) chloride, 99.999%, anhydrous, powder  
**40,047-5** Tantalum(V) chloride, 99.99%  
**21,863-4** Tantalum(V) chloride, 99.8%  
**49,686-3** Pentakis(dimethylamino)tantalum, 99.9%  
(packaged in ampules)  
**33,339-5** Dichlorosilane, 99.99+%  
**29,522-1** Dichlorosilane, 97+%  
**33,389-1** Silane, 99.998+%, electronic grade  
**29,567-1** Silane, 99.9%

## Titanium Compounds



- 46,985-8** Tetrakis(dimethylamino)titanium, 99.999%  
**46,986-6** Tetrakis(diethylamino)titanium, 99.999%  
**25,431-2** Titanium(IV) chloride, 99.995+%  
**20,856-6** Titanium(IV) chloride, 99.9%  
**24,986-6** Titanium(IV) chloride, 1.0M solution in dichloromethane  
**34,569-5** Titanium(IV) chloride, 1.0M solution in toluene  
**40,498-5** Titanium(IV) chloride, ca. 0.09M solution in 20% hydrochloric acid



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# Synthetic Applications of Indium Trichloride Catalyzed Reactions

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## Outline

1. Introduction
2. Synthetic Applications
  - 2.1. The Diels–Alder Reaction
  - 2.2. The Aldol Reaction
  - 2.3. The Friedel–Crafts Reaction
  - 2.4. Miscellaneous Reactions
3. Conclusion
4. Acknowledgements
5. References

## 1. Introduction

Lewis acids play a vital role in organic reactions. The Lewis acids most frequently encountered in organic synthesis are  $\text{AlCl}_3$ ,  $\text{BF}_3 \cdot \text{Et}_2\text{O}$ ,  $\text{ZnCl}_2$ ,  $\text{TiCl}_4$ , and  $\text{SnCl}_4$ . Recently, lanthanide triflates have gained a lot of attention as Lewis acids for organic reactions.<sup>1</sup> Although indium belongs to the same group in the periodic table as boron and aluminum, the utility of indium trichloride as a Lewis acid for organic reactions has not been exploited to a greater extent largely because of its mild Lewis acid character. Recently, it has been proven that indium trichloride is a mild Lewis acid which is stable in an aqueous medium, and that it effectively catalyzes the aldol, Diels–Alder, and Friedel–Crafts reactions. This short review focuses on the effectiveness of indium trichloride as a Lewis acid in organic synthesis, and covers the literature of the past decade. The synthetic applications of indium trichloride prior to 1990 were surveyed by Fedorov and Fedorov<sup>2a</sup> and by Boghosian and Papatheodorou.<sup>2b</sup>

## 2. Synthetic Applications

### 2.1. The Diels–Alder Reaction

The Diels–Alder reaction is one of the most powerful methods for the regio- and stereospecific preparation of carbocyclic and heterocyclic ring systems. Lewis acids increase the rate of the reaction and its regio-, endo-, and  $\pi$ -face selectivities by coordination with the dienophile, i.e., with a conjugated  $\text{C}=\text{O}$  or  $\text{C}=\text{N}$  group.



Loh and coworkers<sup>3</sup> have reported that indium trichloride catalyzes the Diels–Alder reaction between cyclopentadiene and acrylates in water (eq 1), and have shown that the catalyst can be easily recovered from water and reused after the reaction has been completed.

The imino Diels–Alder reaction is a powerful tool for the synthesis of quinoline and pyridine derivatives. Although Lewis acids often promote the reaction, more than stoichiometric amounts of the acids are required due to the strong coordination of the acids to the nitrogen atoms.<sup>4</sup> In contrast, only a catalytic amount of anhydrous indium trichloride (20 mol %) is needed for the reaction of Schiff bases with cyclopentadiene to afford cyclopentaquinolines (eq 2).<sup>5</sup> The use of indium trichloride enhances the rate of the reaction and improves its yield as compared to other Lewis acids (Table 1).<sup>6,7</sup> Indium trichloride also catalyzes the Diels–Alder reaction of *N*-benzylidene-1-naphthylamine with cyclopentadiene to afford benzo[*h*]-cyclopenta[*c*]quinoline (eq 3).<sup>5</sup>

The pyranoquinoline moiety is present in many alkaloids such as flindersine, oricine and veprisine (Figure 1). Pyranoquinoline



derivatives possess a wide range of biological activities, such as psychotropic, antiallergic, anti-inflammatory, and estrogenic activities, and are potential pharmaceuticals. Pyranoquinoline derivatives have been synthesized by the  $\text{BF}_3 \cdot \text{Et}_2\text{O}$ ,<sup>8</sup> acetic acid,<sup>9</sup> or ytterbium triflate catalyzed<sup>10</sup> Diels–Alder reaction of *N*-benzylideneaniline with 3,4-dihydro-2*H*-pyran; the yields, however, are low. On the other hand, indium trichloride catalyzes the Diels–Alder reaction of Schiff bases with 3,4-dihydro-2*H*-pyran and affords the corresponding pyranoquinoline derivatives in good-to-moderate yields. Indium trichloride coordinates with the Schiff bases yielding endo/exo products, the ratio of which is determined largely by the electronic effects of the substituents in the Schiff bases (eq 4).<sup>11</sup> A comparison of the results obtained with indium trichloride with those obtained with other catalysts is given in Table 2. The reaction of 3,4-dihydro-2*H*-pyran with *N*-benzylidene-1-naphthylamine in the presence of 20 mol % indium trichloride provides benzo[*h*]pyrano[3,2-*c*]quinolines (eq 5).<sup>11</sup>

Schiff bases react with indene in the presence of indium trichloride affording only the endo isomer of indeno[2,1-*c*]quinolines in

moderate yields (**eq 6** and **Table 3**);<sup>11</sup> in the absence of indium trichloride, the reaction does not proceed at all. The endo isomer is obtained as a result of the likely secondary orbital interactions of diene and dienophile. Similarly, *N*-benzylidene-1-naphthylamine reacts with indene to provide benzo[*h*]-indeno[2,1-*c*]quinoline in 50% yield (**eq 7**).<sup>11</sup>

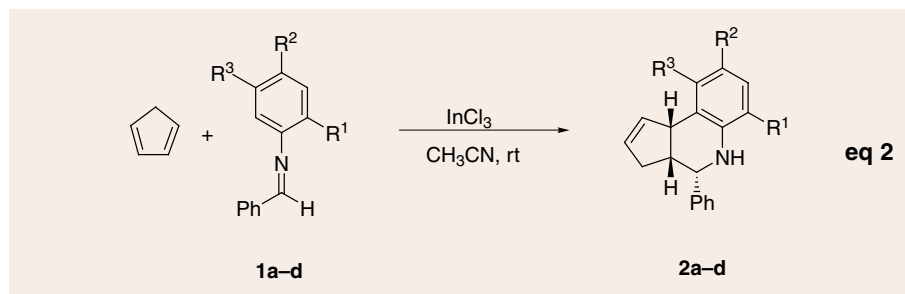
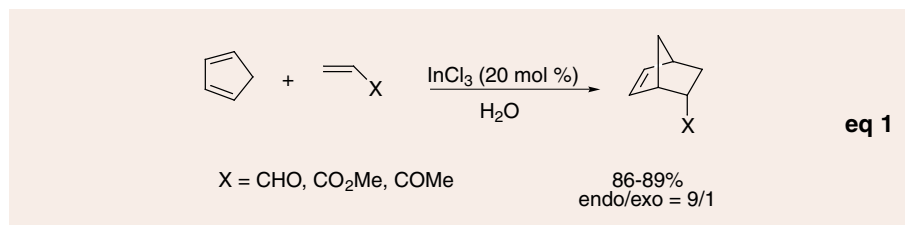
The Diels–Alder reaction of benzo[*b*]-thienylimines with cyclopentadiene and 3,4-dihydro-2*H*-pyran in the presence of anhydrous indium trichloride provides benzo[*b*]thienyl-substituted quinoline derivatives (**Scheme 1**),<sup>12</sup> a class of biologically active agents, in good yields and endo stereoselectivities. The addition is also regio-selective with respect to the dihydropyran ring. Similarly, the reaction of imines with enamides leads to the biologically important pyrroloquinolines regioselectively and in moderate yields (**eq 8**).<sup>13</sup>

Azabicyclo[2.2.2]octanones have been prepared in moderate yields and regio- and stereoselectivities by the Diels–Alder reaction of either *N*-tosylimines with 2-trialkylsilyloxy-1,3-cyclohexadiene (**eq 9**),<sup>14</sup> or imino-carbamates with 1,3-cyclohexadiene (**eq 10**)<sup>15</sup> in the presence of BF<sub>3</sub>•Et<sub>2</sub>O. By comparison, the Diels–Alder reaction of Schiff bases with 2-cyclohexenone in the presence of indium trichloride provides a facile route to azabicyclo[2.2.2]octanones (**eq 11** and **Table 4**).<sup>16</sup> Azabicyclononan-8-ones have been similarly prepared in good yields (**eq 12**).<sup>16</sup> Azabicyclooctanones and azabicyclononanones are of interest as precursors of naturally occurring piperidine alkaloids of the prosopis family.<sup>17</sup>

## 2.2. The Aldol Reaction

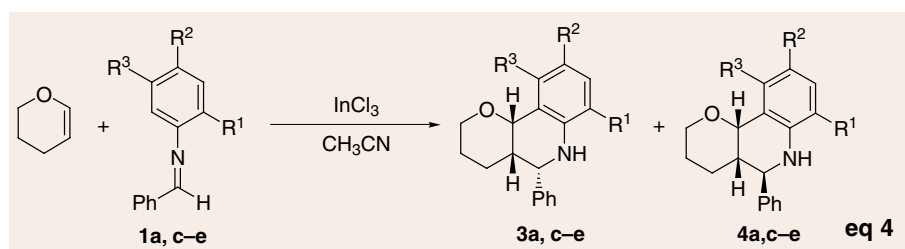
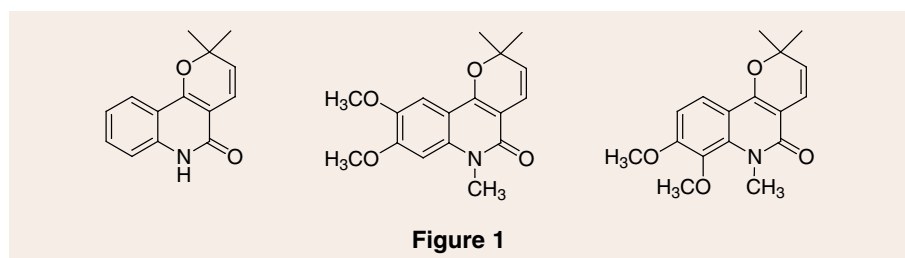
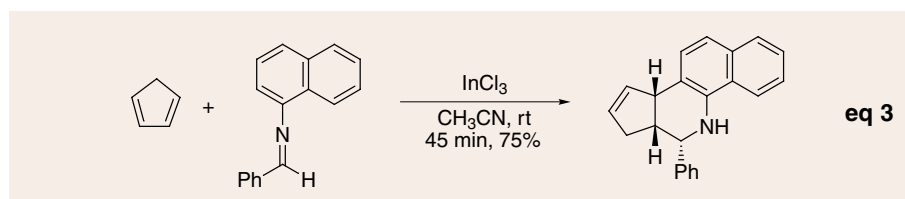
The aldol reaction is one of the most important carbon–carbon bond forming reactions for acyclic stereocontrol. Mukaiyama and coworkers<sup>18</sup> have reported that aldehydes smoothly react with trimethylsilyl enol ethers, in the presence of TMSCl and indium trichloride, to produce the corresponding aldol adducts in high yields (**eq 13**).

Catalytic amounts of *tert*-butyldimethylsilyl chloride (TBSCl) and indium trichloride also promote aldol reactions. The conventional Mukaiyama aldol reaction requires strictly anhydrous and nonprotic conditions.<sup>19</sup> Kobayashi has shown that lanthanide triflates are excellent catalysts for the Mukaiyama aldol reaction in aqueous media (THF–H<sub>2</sub>O).<sup>20,21</sup> However, efforts to carry out the experiment in water alone afforded only low yields of the products. Loh and coworkers<sup>22</sup> have demonstrated for the first time that indium trichloride catalyzes the Mukaiyama-type reaction of silyl enol ethers and



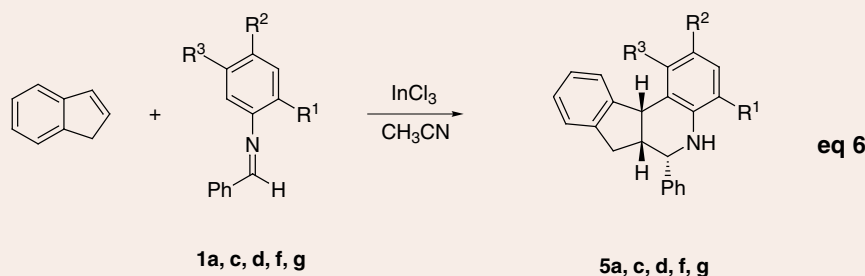
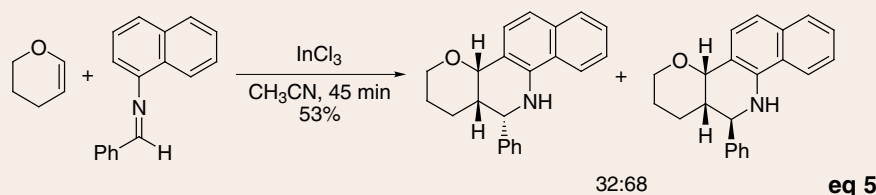
**Table 1. Reaction of Schiff Bases with Cyclopentadiene**

| Entry | Schiff Base | R <sup>1</sup> | R <sup>2</sup>   | R <sup>3</sup> | Catalyst             | Time, h | Product   | Yield, % | Ref. |
|-------|-------------|----------------|------------------|----------------|----------------------|---------|-----------|----------|------|
| 1     | <b>1a</b>   | H              | H                | H              | TFA                  | 2       | <b>2a</b> | 71       | 6    |
|       |             |                |                  |                | Yb(OTf) <sub>3</sub> | 20      |           | 85       | 7    |
|       |             |                |                  |                | InCl <sub>3</sub>    | 0.5     |           | 75       | 5    |
| 2     | <b>1b</b>   | H              | NO <sub>2</sub>  | H              | TFA                  | 3       | <b>2b</b> | 98       | 6    |
|       |             |                |                  |                | InCl <sub>3</sub>    | 0.5     |           | 95       | 5    |
| 3     | <b>1c</b>   | H              | OCH <sub>3</sub> | H              | Yb(OTf) <sub>3</sub> | 20      | <b>2c</b> | 38       | 7    |
|       |             |                |                  |                | InCl <sub>3</sub>    | 0.75    |           | 58       | 5    |
| 4     | <b>1d</b>   | H              | Cl               | H              | Yb(OTf) <sub>3</sub> | 20      | <b>2d</b> | 85       | 7    |
|       |             |                |                  |                | InCl <sub>3</sub>    | 0.5     |           | 84       | 5    |

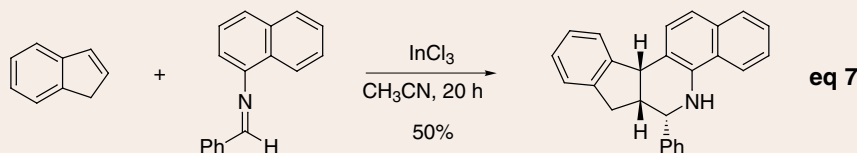


**Table 2. Reaction of Schiff Bases with 3,4-Dihydro-2H-pyran**

| Entry | Schiff Base | R <sup>1</sup> | R <sup>2</sup>   | R <sup>3</sup> | Catalyst                                                | Time, h   | Product Ratio 3:4 | Overall Yield, % | Ref.     |
|-------|-------------|----------------|------------------|----------------|---------------------------------------------------------|-----------|-------------------|------------------|----------|
| 1     | <b>1a</b>   | H              | H                | H              | BF <sub>3</sub> •Et <sub>2</sub> O<br>InCl <sub>3</sub> | 12<br>0.5 | 100:0<br>41:59    | 25<br>80         | 8<br>11  |
| 2     | <b>1c</b>   | H              | OCH <sub>3</sub> | H              | Yb(OTf) <sub>3</sub><br>InCl <sub>3</sub>               | 9<br>4    | 37:63<br>58:42    | 54<br>70         | 10<br>11 |
| 3     | <b>1d</b>   | H              | Cl               | H              | InCl <sub>3</sub>                                       | 0.5       | 34:66             | 50               | 11       |
| 4     | <b>1e</b>   | H              | CH <sub>3</sub>  | H              | InCl <sub>3</sub>                                       | 2         | 68:32             | 70               | 11       |


**Table 3. Reaction of Schiff Bases with Indene in the Presence of 20 mol % InCl<sub>3</sub>**

| Entry | Schiff Base | R <sup>1</sup>  | R <sup>2</sup>   | R <sup>3</sup>  | Time, h   | Product   | Yield, % |
|-------|-------------|-----------------|------------------|-----------------|-----------|-----------|----------|
| 1     | <b>1a</b>   | H               | H                | H               | 6         | <b>5a</b> | 40       |
| 2     | <b>1c</b>   | H               | OCH <sub>3</sub> | H               | 24        | <b>5c</b> | 30       |
| 3     | <b>1d</b>   | H               | Cl               | H               | 6         | <b>5d</b> | 48       |
| 4     | <b>1f</b>   | CH <sub>3</sub> | Cl               | H               | 12        | <b>5f</b> | 48       |
| 5     | <b>1g</b>   | CH <sub>3</sub> | H                | CH <sub>3</sub> | 9, reflux | <b>5g</b> | 65       |



aldehydes in water (**eq 14**). Recently, Kobayashi<sup>23</sup> has reported that the indium trichloride catalyzed aldol reaction of silyl enol ethers with aldehydes proceeds smoothly in water without using any cosolvents when a

small amount of surfactant is present (**eq 15**). Because of its high coordination number and fast coordination–dissociation equilibrium, InCl<sub>3</sub> is stable in aqueous media and thereby effectively catalyzes the aqueous aldol

reaction.<sup>3</sup> In contrast, other Lewis acids, such as BF<sub>3</sub>•Et<sub>2</sub>O and AlCl<sub>3</sub>, react with water to yield the corresponding hydroxide derivatives.

The aldol–Mannich-type reaction is among the most fundamental methods for the synthesis of β-amino ketones and esters. The uncatalyzed synthesis of β-amino ketones and esters by the aldol–Mannich-type reaction gives products in low yields due to severe side reactions such as deamination. Unfortunately, most of the Lewis acids used in aldol–Mannich-type reactions are not stable and decompose or deactivate in the presence of water, which is a by-product of imine formation, or as a result of direct attack by the free amine present. Loh's group<sup>24</sup> has reported that indium trichloride catalyzes the formation of β-amino esters, in better yields, by reaction of an aldehyde, an amine, and an ester-derived silyl enol ether (**eq 16**). The reaction of a ketone-derived silyl enol ether with an aldehyde and an amine affords β-amino ketones in good-to-moderate yields (**eq 17**).<sup>24</sup>

### 2.3. The Friedel–Crafts Reaction

Indium trichloride catalyzes the acylation of anisole at room temperature, and the yield of the reaction is improved significantly by the addition of silver perchlorate (**eq 18**).<sup>25</sup> The combination of InCl<sub>3</sub> and AgClO<sub>4</sub> is believed to generate the active catalyst species, InCl<sub>2</sub>(ClO<sub>4</sub>) or InCl(ClO<sub>4</sub>)<sub>2</sub>, which reacts with the anhydride to form an acyl cation intermediate that is stabilized by the perchlorate anion. This acylium ion intermediate then reacts with aromatics to give the desired ketone. In combination with chlorodimethylsilane, indium trichloride catalyzes the reductive Friedel–Crafts alkylation of aromatics (**eq 19**).<sup>26,27</sup> This transformation is especially valuable in light of the difficulty with which these alkylated aromatics have traditionally been prepared. The tolerance of ester and ether groups, which are frequently used as alkylating reagents, is perhaps due to the weak Lewis acidity of InCl<sub>3</sub>. In the general systems reported, the alkylations involving esters and ethers give different results that are dependent on the acidity of the catalyst.<sup>28</sup>

### 2.4. Miscellaneous Reactions

Tetrahydropyrans have been prepared by the indium trichloride mediated Prins-type cyclization in high yields and with high stereoselectivity (**eq 20**).<sup>29</sup> Tetrahydropyrans are useful for the construction of various important carbohydrates and natural products. They have been prepared in the past by the titanium tetrachloride or aluminum trichloride catalyzed reactions of alkoxyallylsilanes with aldehydes, or by the related cross-coupling between homoallyl alcohols and aldehydes.<sup>30</sup>



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Kugelrohr apparatus shown with KNF Laboport vacuum pump (see page 4).

### ***"Distills the Most Difficult Materials"***



#### **Step 1**

Oven flask is filled one-third full with distillable material, placed carefully in oven, and connected to the horizontal receiving flasks outside the oven.

#### **Step 2**

Connect the glassware to the rotary drive and the drive to a vacuum pump.\* Switch the vacuum drive on to turn the distillation train 360° to speed distillation, ensure even heating, and prevent bumping. Start the vacuum pump.



\*Use of a vacuum trap between the rotary drive and the pump is recommended to protect the pump. See the Equipment Section of the 2000-2001 Aldrich Handbook for vacuum traps.

#### **Step 3**

When the correct vacuum is attained, set the distillation temperature on the air-bath oven and begin distillation. The digital temperature controller displays both "set" and "actual" air-bath temperature.



#### **Step 4**

Collect distillate in the horizontal ball-tubes outside of oven. An ice-filled polypropylene cooling tray quickly condenses distillate in receiving ball-tubes.



## Specifications:

### ***Micro to macro distillation capability***

- ❑ Accommodates flask sizes 10mL to 1L

### ***Air-bath oven***

- ❑ SS wall with leakproof seal at the base contains spills
- ❑ Grounded heating element prevents electrical shock
- ❑ Detachable power cord, on/off switch, adjustable rubber feet, and interchangeable Teflon bearing set for flasks with  $\text{J14/40}$  and  $\text{J24/40}$  joints

### ***Distill under vacuum to 0.05mm Hg***

- ❑ Single-speed rotary drive is optimized for Kugelrohr distillations
- ❑ Detachable power cord, on/off switch, adjustable rubber feet, built-in stabilizing clamp

### ***Automatic temperature controller***

- ❑ Maintains oven temperature up to  $220^{\circ}\text{C}$ ,  $\pm 1^{\circ}\text{C}$
- ❑ Type-K thermocouple ensures fast, accurate temperature measurements inside of oven
- ❑ Automatically turns off power to oven if thermocouple fails or disconnects

### ***CE Compliant***

## *Kugelrohr Short-Path Distillation Apparatus*

*Includes the following components:*

air-bath oven with digital temperature controller, glassware set consisting of a straight tube with hose connection, 25 and 100mL round-bottom oven flasks, 25 and 100mL single bulb ball-tube flasks with  $\text{J14/20}$  joints, and rotary drive.

| <b>Volts</b> | <b>Cat. No.</b>  |
|--------------|------------------|
| 115          | <b>Z40,113-7</b> |
| 230          | <b>Z40,114-5</b> |



*Please see the Equipment Section of the 2000-2001 Aldrich Handbook for a complete listing of Kugelrohr accessory glassware and parts.*

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## Büchi Distillation Ovens



**Model B580 GKR**

The small size flasks in this ball-tube oven make it an ideal system for high temperature distillation of small quantities of liquid, for separating solvents from non-volatile, oily components in a mixture, or for evaporating quantities of sample that are too small for rotary evaporators. Includes 3 x 20mL ball-tube assembly, ball-tube drive unit, and 60mL rotation drying flask.

| Volts | Cat. No.         |
|-------|------------------|
| 115   | <b>Z41,222-8</b> |
| 230   | <b>Z31,916-3</b> |

### **Accessory ball tubes with vapor ducts**

| No. Balls x Volume (mL) | Cat. No.         |
|-------------------------|------------------|
| 2 x 40                  | <b>Z31,920-1</b> |
| 3 x 20                  | <b>Z31,922-8</b> |
| 4 x 10                  | <b>Z31,923-6</b> |

- Variable rotation speed: 0 to 50rpm
- Cooling device cold trap can be cooled down to  $-80^{\circ}\text{C}$
- Ball-tubes with 2, 3, or 4 balls are available
- External ball-tube drive unit for constant rotation
- Linear drying tube holds up to 60mL of solids
- CE compliant

## KNF LABOPORT

### **Solid Teflon Deep-Vacuum Pumps**

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- ❑ 1.5 torr performance
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- ❑ Solid Teflon pump heads with Kalrez valves
- ❑ Dry operation, uses no oil and is maintenance-free
- ❑ CE compliant

### **Specifications:**

- |                                                |                                                    |
|------------------------------------------------|----------------------------------------------------|
| ■ Pumping speed: 34L/min                       | ■ Totally-enclosed, fan-cooled, ball-bearing motor |
| ■ Max. vacuum: 1.5 torr (29.88in. Hg)          | ■ Hose connections: $\frac{3}{8}$ in. i.d. barbs   |
| ■ Max. pressure: 15psig                        | ■ Dim.: 33 L x 17 W x 22in. H                      |
| ■ Head/valves: Teflon/Kalrez                   | ■ Wt: 27lb (12.2kg)                                |
| ■ Compact cast-alloy case with carrying handle |                                                    |

| Volts | Cat. No.         |
|-------|------------------|
| 115   | <b>Z28,820-9</b> |
| 230   | <b>Z28,821-7</b> |

**Baseplate for units**  
**Z28,833-0**



Vacuum pump shown with optional baseplate and KNF Precision vacuum controller

See the Equipment Section in the 2000-2001 Aldrich Handbook for more KNF vacuum pumps and accessories.

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# IKAMAG

## Stirring Hot Plates

Low profile, enclosed construction with a connection for the IKATRON electronic thermometer listed separately, below. 90 H x 160 W x 280mm D. Wt = 2.4kg. CE compliant.

- ❑ 20L stirring cap.
- ❑ Speed range: 0 to 1,100rpm
- ❑ Temp. range: ambient to 300°C/572°F
- ❑ AISi plate; diam: 135mm
- ❑ Safety circuit fixed at 370°C/698°F

| Volts | Cat. No.         |
|-------|------------------|
| 115   | <b>Z40,351-2</b> |
| 230   | <b>Z40,352-0</b> |

### Model RCT

Model RCT stirring hot plate shown with optional vertical support rod, boss head clamp, and IKATRON electronic thermometer



## IKATRON Electronic Thermometer

Fuzzy logic and 2-point control for optimal control of hot plate temperature. Connects to above hot plates or any unit with a DIN 12878 socket. Includes a 250 L x 3mm diam., PT 1000, SS temperature probe. Dim.: 96 H x 50 W x 35mm D. Wt = 0.2kg.

**Z40,353-9**

- ❑ Digital display
- ❑ Measuring range: -10 to 400°C with adjustable safety circuit between 100 and 400°C
- ❑ Control precision: ± 0.2°C
- ❑ No temperature overshoot

## Accessories for Stirring Hot Plates and Electronic Thermometer

**Probe extension cable, 2.5m**, for the remote connection of IKATRON electronic thermometer to sensor probe.

**Z40,355-5**

**Vertical support rod, SS**, threads into top of stirrer base

**Z40,356-3**

**Boss head clamp**

**Z40,357-1**

**Adjustable stand support rod, R380**

Attaches to side groove of stirring hot plate allowing adjustment to any desired setting along stirrer. Several support rods can be attached to stirrer simultaneously.

**Z40,360-1**

# IKA

## Dual-Speed Mixers



Two speed ranges for stirring applications up to 20L (in terms of H<sub>2</sub>O) of material. 292 H x 88 W x 188mm D. Wt = 2.9kg. Order stirring shafts separately; see listings in the Aldrich Handbook. CE compliant.

- Two speed ranges:
  - 60 to 500rpm
  - 240 to 2,000rpm
- Viscosity max.: 10,000cps
- Max. torque: 185Ncm
- Adjustable chuck; range: 0.5 to 10mm

| Volts | Analog<br>Cat. No. | w/Digital speed display<br>Cat. No. |
|-------|--------------------|-------------------------------------|
| 115   | <b>Z40,395-4</b>   | <b>Z40,397-0</b>                    |
| 230   | <b>Z40,396-2</b>   | <b>Z40,398-9</b>                    |

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## Eppendorf

### Thermomixers

This new thermomixer combines heating and shaking of 24 x 1.5mL samples at a time to ease user workload. Incubation and mixing may also be performed independently. Autoclavable and freezer-safe rack with 24 numbered positions make processing and transporting samples quick and easy. Short-mix function for quick "vortex" applications.



- ❑ Mixing speed: 300 to 1,400rpm
- ❑ Mixing stroke: 3mm
- ❑ Temp. control range: - 4°C above ambient up to 99°C in 1°C increments
- ❑ Temp. accuracy: ± 1°C at 0 to 45°C; ± 2°C above 45°C
- ❑ Dim.: 6.5 W x 9.5 D x 5.3in. H
- ❑ CE compliant

| Volts | Cat. No. |
|-------|----------|
| 115   | T 1317   |
| 220   | T 1442   |

## Echotherm

### Chilling Incubators

Ideal for benchtop use in any laboratory. The unit is designed for standard incubations, culture growth, enzyme reactions, and more. Chill or heat samples with the precise control of 0.1°C selectability. Includes two electropolished steel racks, power cord, and manual. Chamber dim.: 9½ x 9½ x 14in. Overall dim.: 15½ x 16½ x 22½in. Weight: 45lb.

- Temp. range: 4 to 70°C
- No CFCs; Peltier based cooling
- Digital controls
- Timer with alarm and Auto-off
- UL, CSA, and CE certified

| Volts | Cat. No.  |
|-------|-----------|
| 115   | Z40,096-3 |
| 230   | Z40,097-1 |



## Electronic

### Ice Cubes



Chill samples as low as -10°C on the bench or in the field. Plate surface will go to 0°C in two minutes. Plate dim.: 2⅞ x 4⅜in. Overall dim.: 3½ x 6½ x 4¾in. Weight: 6lb.

- ❑ Freeze 96-well plates and centrifuge tubes
- ❑ No CFCs; Peltier based cooling
- ❑ UL, CSA, and CE certified

| Volts | Cat. No.  |
|-------|-----------|
| 115   | Z40,099-8 |
| 230   | Z40,100-5 |

#### Accessories for Electronic Ice Cubes

|                                     |           |
|-------------------------------------|-----------|
| Cover for 96-well plates            | Z40,106-4 |
| Cover for blocks                    | Z40,105-6 |
| Al block for 0.2mL centrifuge tubes | Z40,101-3 |
| Al block for 0.5mL centrifuge tubes | Z40,102-1 |
| Al block for 1.5mL centrifuge tubes | Z40,104-8 |

## Easy-Read Thermometers



Easy-to-read thermometers with black printing on a bright yellow background. Filled with contrasting black, non-hazardous, Enviro-Safe liquid. Calibrated with NIST, DKD standards.

| Range        | L<br>(mm) | Immersion level   |                   |
|--------------|-----------|-------------------|-------------------|
|              |           | 76mm<br>Cat. No.  | Total<br>Cat. No. |
| -20 to 110°C | 200       | <b>Z42,335-1*</b> | <b>Z42,337-8</b>  |
| -20 to 150°C | 200       | <b>Z42,338-6*</b> | <b>Z42,339-4</b>  |
| -20 to 110°C | 300       | <b>Z42,341-6</b>  | <b>Z42,340-8</b>  |
| -20 to 150°C | 300       | <b>Z42,343-2</b>  | <b>Z42,342-4</b>  |
| -10 to 225°C | 350       | –                 | <b>Z42,350-5</b>  |
| -10 to 260°C | 350       | <b>Z42,351-3</b>  | –                 |
| 0 to 230°F   | 300       | <b>Z42,345-9</b>  | <b>Z42,344-0</b>  |
| 0 to 300°F   | 300       | <b>Z42,347-5</b>  | <b>Z42,346-7</b>  |
| 20 to 435°F  | 350       | –                 | <b>Z42,348-3</b>  |
| 20 to 500°F  | 350       | <b>Z42,349-1</b>  | –                 |

\*50mm immersion level

## Easy-Read Pocket Thermometers

Easy-to-read total immersion pocket thermometers with non-hazardous, biodegradable black liquid fill. Thermometers are 145mm L, 160mm L with aluminum case. An armor option is available, providing extra shielding for the thermometer.

| Range        | Div. | Without armor    | With armor       |
|--------------|------|------------------|------------------|
|              |      | Cat. No.         | Cat. No.         |
| -5 to 50°C   | 1°C  | <b>Z42,356-4</b> | <b>Z42,359-9</b> |
| -10 to 110°C | 1°C  | <b>Z42,355-6</b> | <b>Z42,358-0</b> |
| 20 to 120°F  | 2°F  | <b>Z42,357-2</b> | <b>Z42,360-2</b> |
| 0 to 220°F   | 2°F  | <b>Z42,354-8</b> | <b>Z42,352-1</b> |



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- ❑ Large digital display with backlighting
- ❑ Switchable °C/°F temperature units
- ❑ Pocket size meter complete with 9V battery

**Z42,281-9**

### Specifications:

|                |                                                    |
|----------------|----------------------------------------------------|
| Range:         | 0 to 315°C or 0 to 600°F                           |
| Accuracy:      | ±2% of reading or 4°F / 2°C (whichever is greater) |
| Resolution:    | 1°C or 1°F                                         |
| Field of view: | 6:1 (at 6in. distance measures 1in. target)        |
| Dim.:          | 6.7 L x 1.7 W x 1.6in. D (170 x 44 x 40mm)         |



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- All-glass, hand blown body filled with harmless clear liquid



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|----------|---------------|--------------------------|----------------|------------------------|---------------------|
| Desktop  | 11/28         | 64-80 18-26              | 5              | <b>Z42,263-0</b>       | <b>Z34,139-8</b>    |
| Classic  | 13.5/34       | 64-80 18-26              | 5              | <b>Z42,262-2</b>       | <b>Z34,140-1</b>    |
| Majestic | 17/43         | 64-76 18-24              | 7              | <b>Z42,261-4</b>       | <b>Z34,142-8</b>    |
| Grande   | 24/61         | 62-82 18-27              | 11             | <b>Z42,260-6</b>       | <b>Z34,143-6</b>    |

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Indium trichloride is also very efficient in effecting the rearrangement of epoxides to the corresponding carbonyl compounds highly regioselectively (**eq 21**).<sup>31</sup>

Mukaiyama et al.<sup>32</sup> have reported that TMSCl and indium trichloride catalyze the reaction of *O*-trimethylsilyl monothioacetals with triethylsilane and silylated carbon nucleophiles to afford the corresponding sulfide derivatives (**eq 22**). These sulfides are of interest because they are not only used as protecting groups, but also as electrophiles in various coupling reactions, especially carbon–carbon bond forming reactions.

Indium trichloride is used as a catalyst for the polymerization of biphenylchlorophthalide,<sup>33</sup> with *tert*-butylhydroperoxide for vinyl monomers,<sup>34</sup> methyl methacrylates,<sup>35</sup> chlorophthalides<sup>36</sup> and *tert*-butylacetylene.<sup>37</sup> Zhun et al.<sup>38</sup> have reported that treatment of  $\text{Me}_n\text{PhSiCl}_{3-n}$  ( $n = 0-2$ ) with chlorine in the presence of indium trichloride affords (chlorophenyl)silanes. Indium trichloride also catalyzes the dehydrochlorination of dichloroethane,<sup>39</sup> is used as a promoter for the hydrochlorination of low-molecular-weight polyisobutylenes<sup>40</sup> and the allylation of carbonyl compounds.<sup>41</sup>

Bisindolylmethanes have been prepared in excellent yields by the electrophilic substitution reaction of indole with aldehydes or Schiff bases in the presence of indium trichloride (**Scheme 2**).<sup>42</sup> The reaction is thought to proceed via an electrophilic substitution step of indole with the aromatic aldehydes or Schiff bases. These bisindoles are of current interest as potent CNS depressants.<sup>43</sup>

### 3. Conclusion

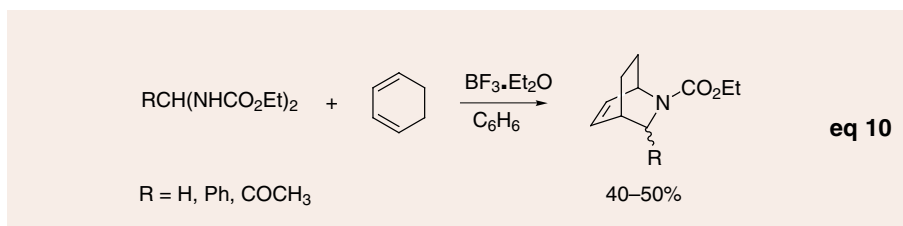
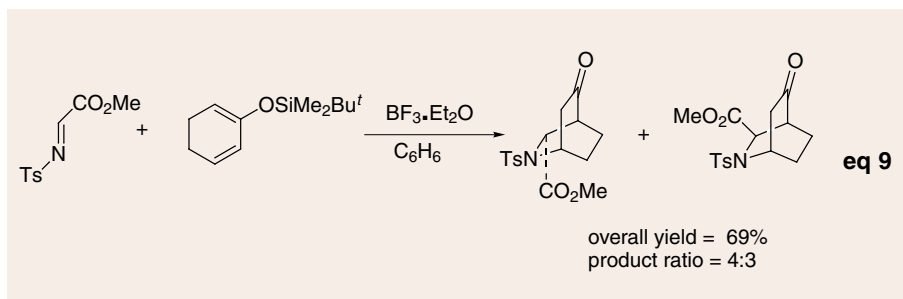
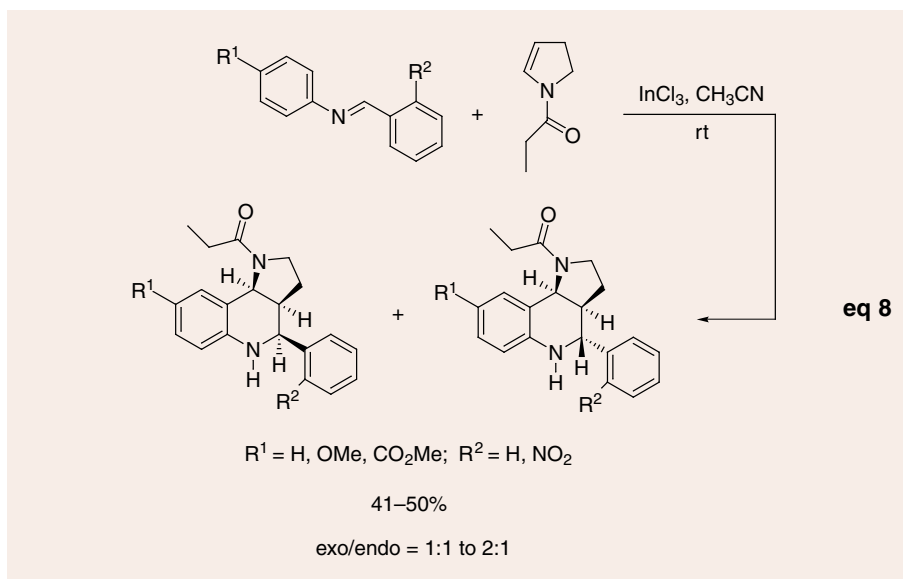
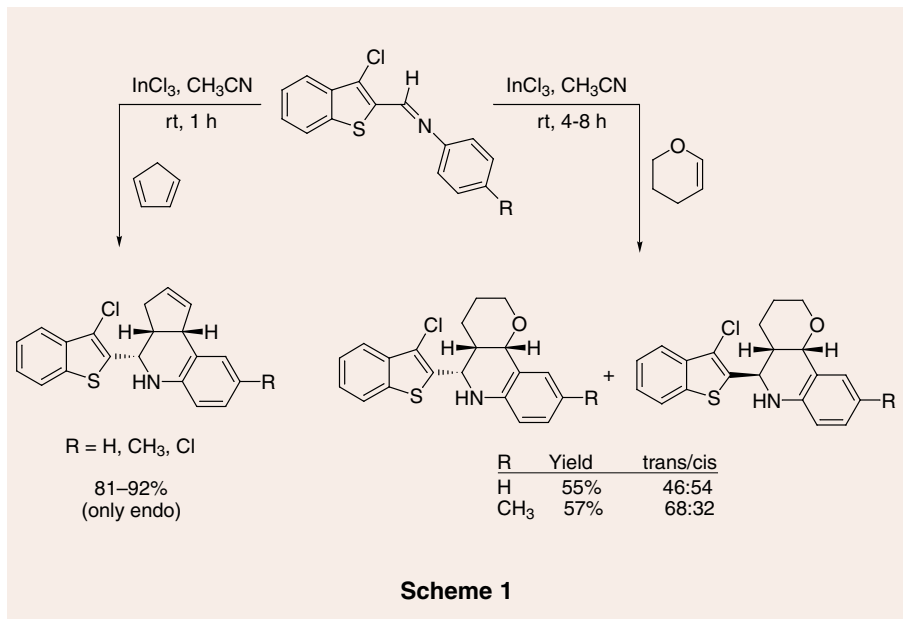
It is evident from the above reports that indium trichloride has emerged as a mild Lewis acid for effecting a variety of chemical transformations in a chemo-, regio-, and stereoselective fashion. Because indium trichloride is catalytically active both in aqueous and organic solvents, it is the mild Lewis acid of choice in a number of synthetic organic reactions.

### 4. Acknowledgements

We thank all those who have contributed to the chemistry reviewed here and whose names appear in the cited references. We also thank the Council of Scientific and Industrial Research, India, for financial support.

### 5. References

- (1) (a) Kobayashi, S.; Hachiya, I.; Takahori, T.; Araki, M.; Ishitani, H. *Tetrahedron Lett.* **1992**, *33*, 6815. (b) Kobayashi, S.; Hachiya, I.; Araki, M.; Ishitani, H. *Tetrahedron Lett.* **1993**, *34*, 3755.



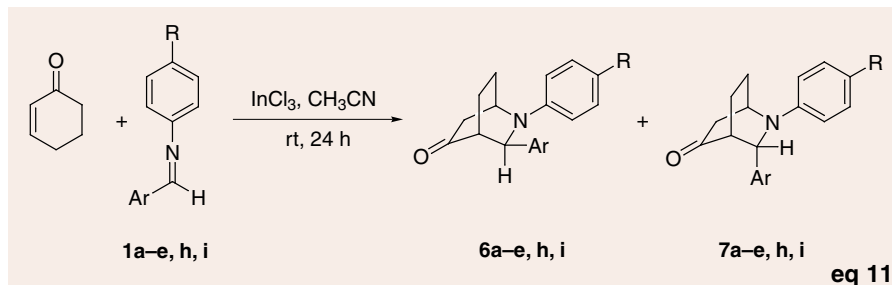
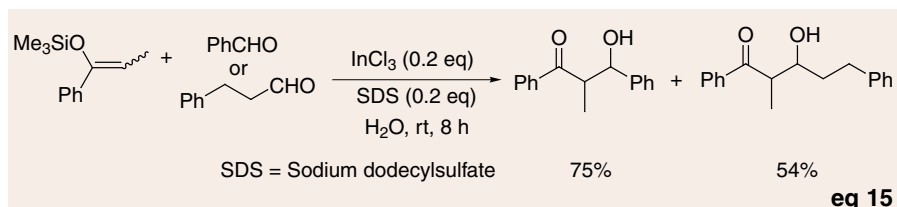
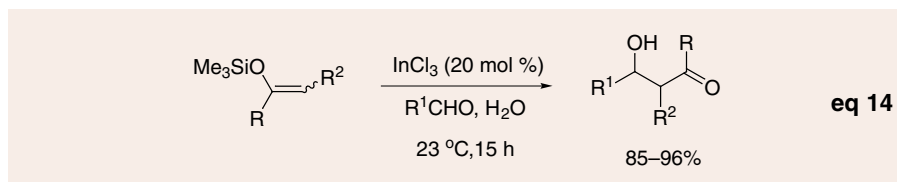
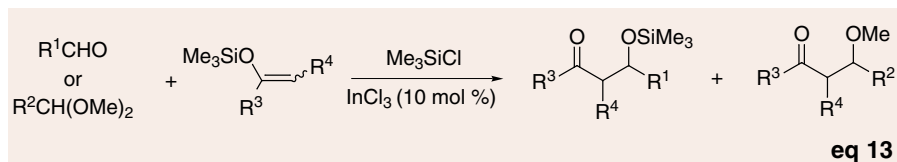
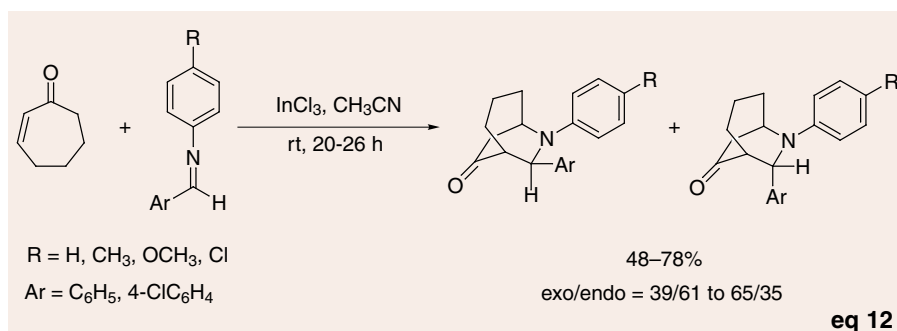


Table 4. Diels-Alder Reaction of Schiff Bases with 2-Cyclohexenone

| Entry | Schiff Base | R                | Ar                                 | Product Ratio 6:7 | Combined Yield (%) |
|-------|-------------|------------------|------------------------------------|-------------------|--------------------|
| 1     | 1a          | H                | C <sub>6</sub> H <sub>5</sub>      | 69:31             | 65                 |
| 2     | 1b          | NO <sub>2</sub>  | C <sub>6</sub> H <sub>5</sub>      | 67:33             | 70                 |
| 3     | 1c          | OCH <sub>3</sub> | C <sub>6</sub> H <sub>5</sub>      | 68:32             | 60                 |
| 4     | 1d          | Cl               | C <sub>6</sub> H <sub>5</sub>      | 73:27             | 68                 |
| 5     | 1e          | CH <sub>3</sub>  | C <sub>6</sub> H <sub>5</sub>      | 73:27             | 62                 |
| 6     | 1h          | H                | 4-Cl-C <sub>6</sub> H <sub>4</sub> | 48:52             | 65                 |
| 7     | 1i          | Cl               | 4-Cl-C <sub>6</sub> H <sub>4</sub> | 47:53             | 74                 |



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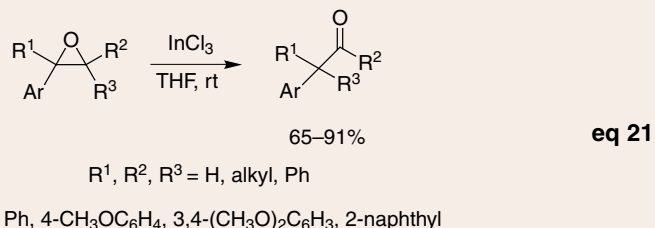
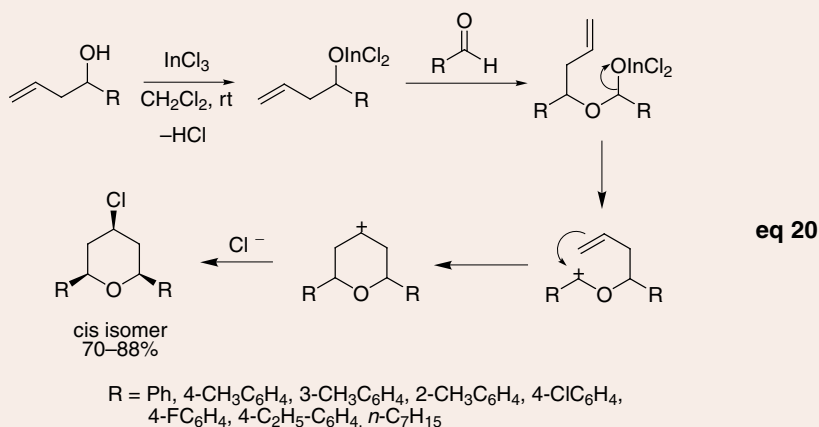
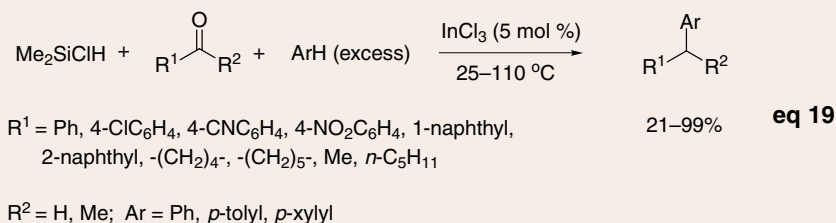
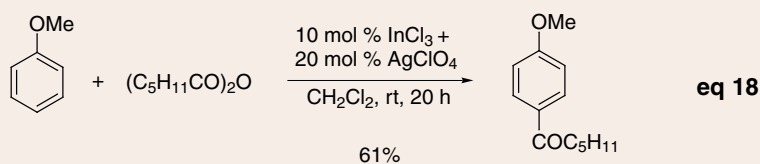
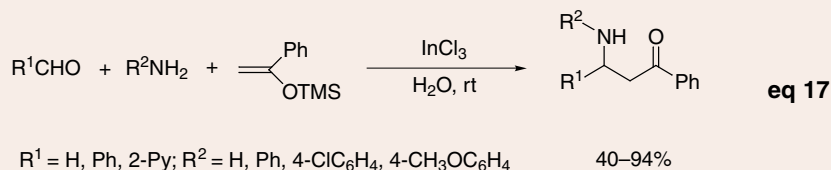
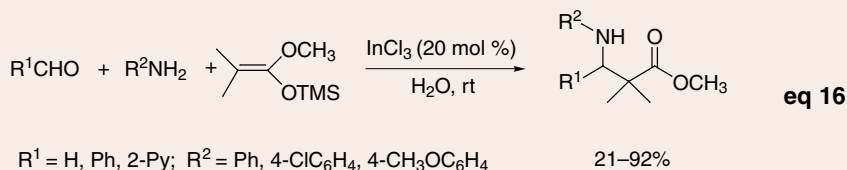
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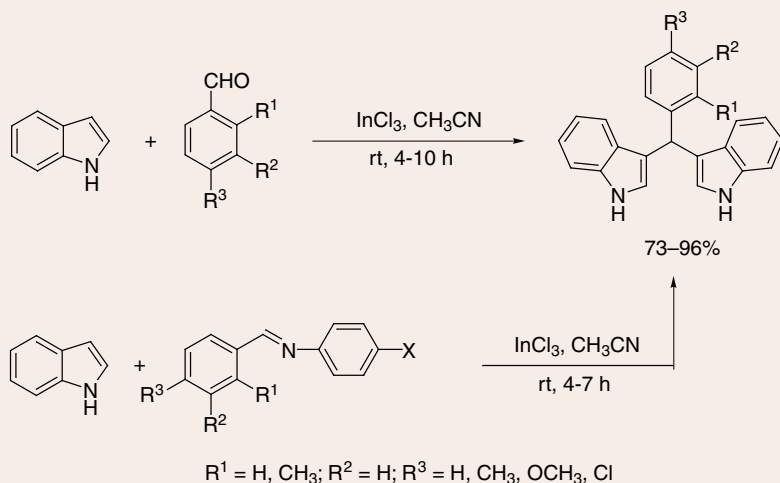
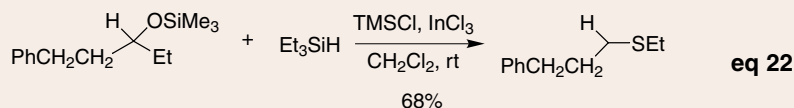
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**Scheme 2**

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## About the Authors

Dr. G. Babu received his M.Sc. degree in Chemistry from Loyola College, University of Madras, and, in August 1993, joined the organic chemistry laboratory of Dr. P.T. Perumal at the Central Leather Research Institute. He was awarded the Ph.D. degree in January 1999 by the University of Madras. His research work involved the synthesis of heterocyclic compounds through Diels–Alder reactions catalyzed by indium trichloride, and the microwave-accelerated synthesis of furfurylidene chalcones. Currently, he is working as a Postdoctoral Fellow with Professor A. Wada, Kobe Pharmaceutical University, Japan, on the synthesis of retenoic acid analogs.

Dr. P. T. Perumal obtained his Ph.D. degree in 1981 from the Indian Institute of Science, Bangalore, under the guidance of Prof. M. V. Bhatt. He then worked as a Postdoctoral Research Associate with Prof. H. C. Brown at Purdue University until 1983. After returning to India, he worked briefly as a Scientist at the Indian Institute of Chemical Technology, Hyderabad, and later moved to the Central Leather Research Institute (CLRI), Chennai. He was a Visiting Scientist at the University of Miami in 1989–1990 and the University of Florida in 1990–1991. He has authored more than 60 publications and trained five Ph.D. students. Currently, he is working on natural biocides and the synthesis of heterocyclic compounds and peptidomimetic antimicrobial agents.



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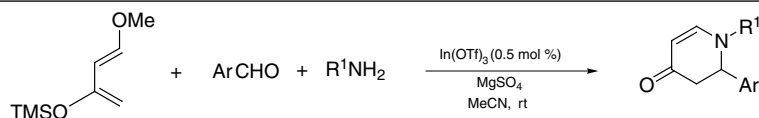
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# Lewis Acids in Organic Synthesis

The preceding review highlights the use of indium(III) chloride as a Lewis Acid. Other Lewis acids also facilitate organic reactions and can often be used in just catalytic amounts. The reactivity and selectivity vary, depending upon reaction conditions and the reagent chosen. Presented here are some examples of the applications in organic synthesis of the triflate (trifluoromethanesulfonate) salts of three popular Lewis acids. A selection of Aldrich products that are mentioned in the review is also included. For additional information, please call our Technical Services Department at **800-231-8327** (USA) or your local Sigma-Aldrich office. Larger quantities are available through Sigma-Aldrich Fine Chemicals. Please call **800-336-9719** (USA) or your local office for availability.

## Indium

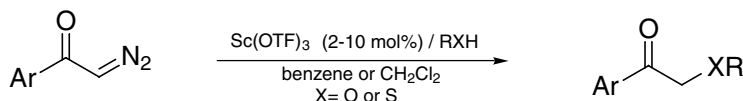


Indium triflate has several applications including catalysis of Friedel–Crafts alkylations<sup>1</sup> and acylations,<sup>2</sup> and is shown here to catalyze the hetero-Diels–Alder reaction. Frost<sup>3</sup> has prepared a series of aryl-substituted tetrahydropyridines, via the imines derived from aryl aldehydes, with a catalyst loading as low as 0.5 mol %.

(1) Miyai, T. et al. *Tetrahedron* **1999**, 55, 1017. (2) Chauhan, K. K. et al. *Synlett* **1999**, 1743. (3) Ali, T. et al. *Tetrahedron Lett.* **1999**, 40, 5621.

**44,215-1** Indium(III) trifluoromethanesulfonate (*Indium triflate*)

## Scandium

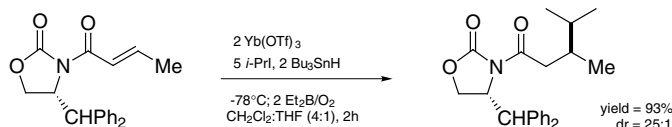


Scandium triflate catalyzes, among other reactions, the intermolecular carbene–heteroatom bond insertion in alcohols and thiols leading to the preparation of  $\alpha$ -alkoxy,  $\alpha$ -alkylthio, and  $\alpha$ -phenylthio aryl ketones.

Pansare, S. V. et al. *Tetrahedron Lett.* **1999**, 40, 5255. For a brief review on this catalyst, see Longbottom, D. *Synlett* **1999**, 2023.

**41,821-8** Scandium trifluoromethanesulfonate, 99% (*Scandium triflate*)

## Ytterbium



Lewis acid assisted diastereoselective free radical conjugate additions compete favorably with their ionic counterparts. In the reaction shown here, Sibi reports that ytterbium triflate gives a 25:1 diastereomeric ratio (dr) using 2 equiv of the Lewis acid and a 20:1 dr at 0.1 equiv. Also, moderate amounts of water seem to have little effect on the outcome of the reaction.

Sibi, M. P. et al. *J. Am. Chem. Soc.* **1999**, 121, 7517.

**43,059-5** Ytterbium(III) trifluoromethanesulfonate, 99.99% (*Ytterbium triflate*)

**40,532-9** Ytterbium(III) trifluoromethanesulfonate hydrate

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|                 |                                                                            |
|-----------------|----------------------------------------------------------------------------|
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| <b>37,295-1</b> | <i>tert</i> -Butyldimethylsilyl chloride, 1.0M solution in tetrahydrofuran |
| <b>38,442-9</b> | <i>tert</i> -Butyldimethylsilyl chloride, 1.0M solution in dichloromethane |
| <b>44,612-2</b> | Butyldimethylsilyl chloride, 98%                                           |
| <b>47,346-4</b> | <i>tert</i> -Butyldimethylsilyl chloride, 50 wt. % solution in toluene     |
| <b>14,420-7</b> | Chlorodimethylsilane, 98%                                                  |
| <b>38,441-0</b> | Chlorotrimethylsilane, 1.0M solution in tetrahydrofuran                    |
| <b>38,652-9</b> | Chlorotrimethylsilane, redistilled, 99+%                                   |
| <b>C7,285-4</b> | Chlorotrimethylsilane, 98%                                                 |
| <b>20,344-0</b> | Indium(III) chloride, 99.999%                                              |
| <b>33,406-5</b> | Indium(III) chloride, 98%                                                  |
| <b>33,407-3</b> | Indium(III) chloride tetrahydrate, 97%                                     |
| <b>42,941-4</b> | Indium(III) chloride, anhydrous, powder, 99.999+%                          |
| <b>22,654-8</b> | Silver perchlorate hydrate, 99%                                            |
| <b>44,923-7</b> | Silver perchlorate, 99.9%                                                  |

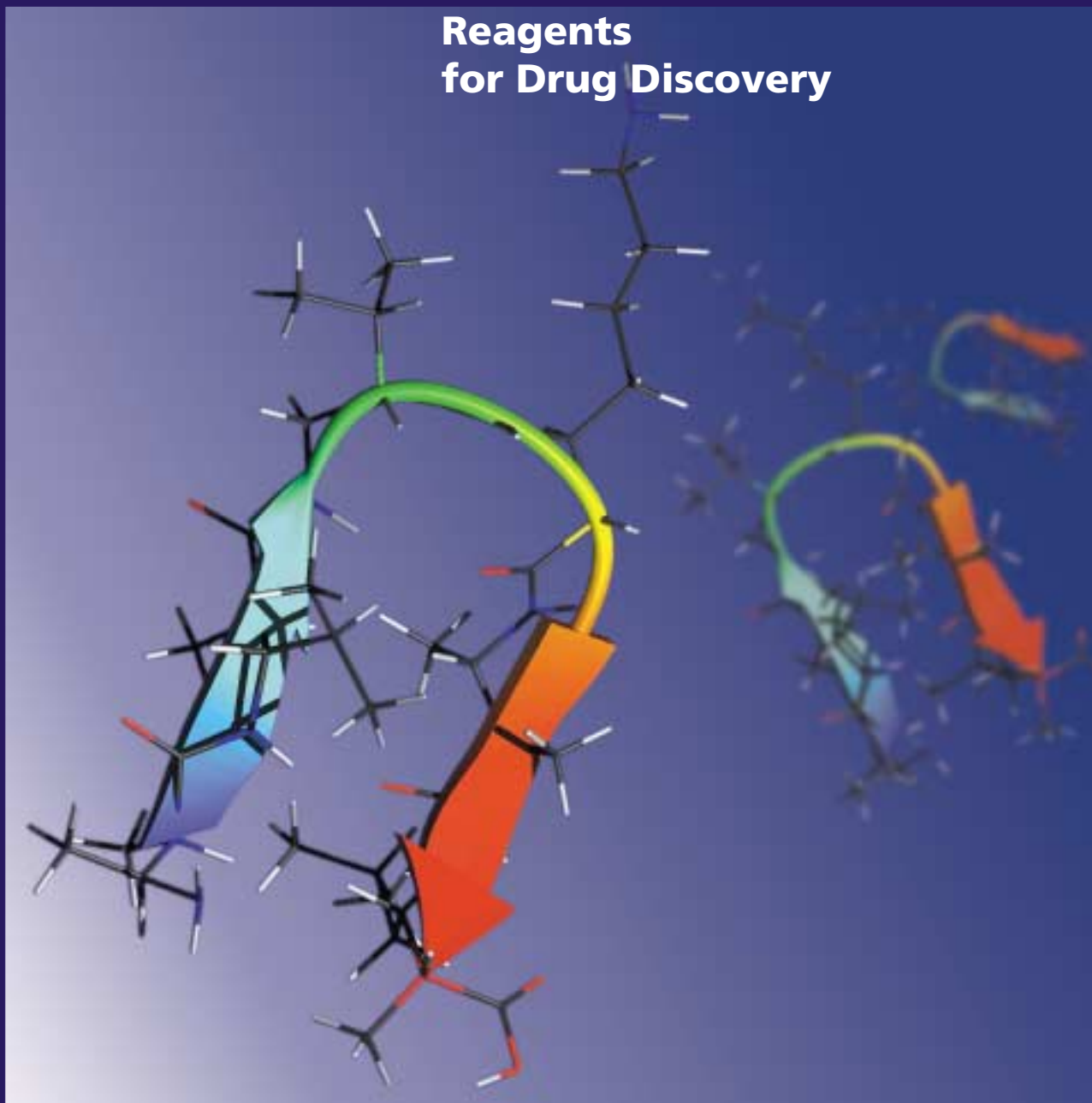
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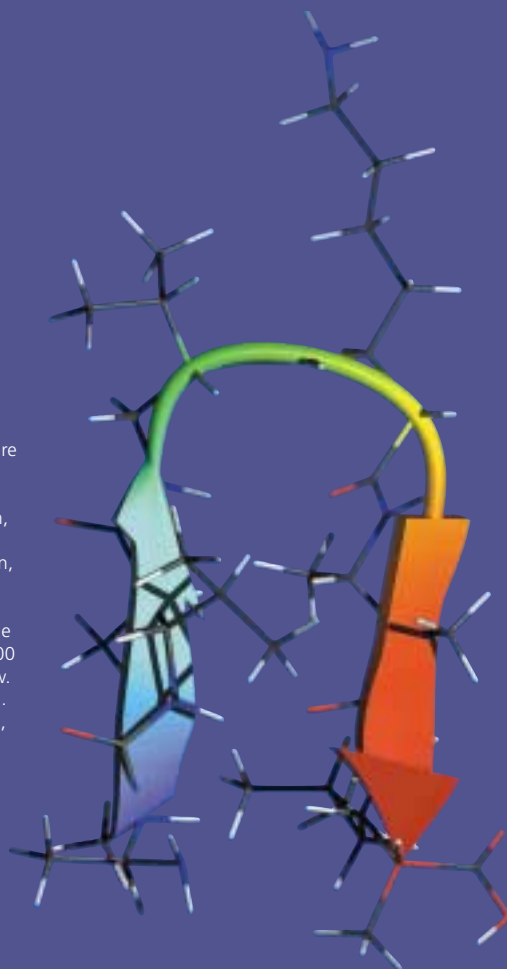
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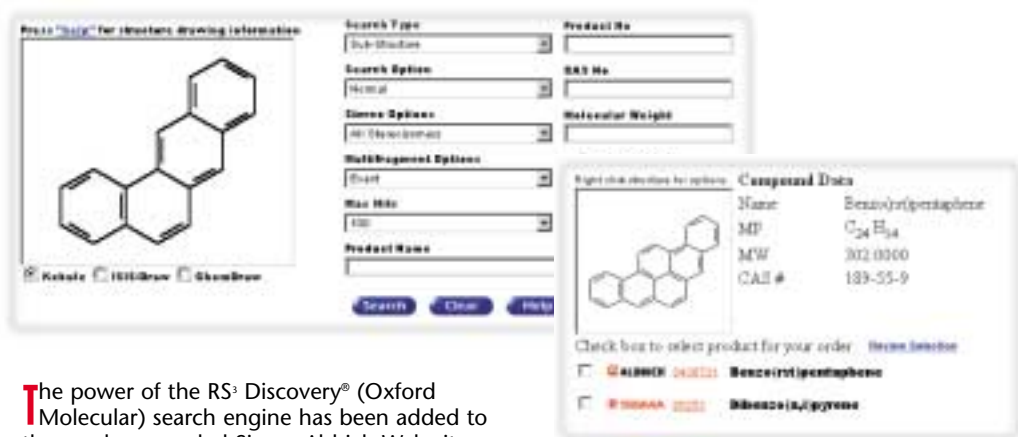
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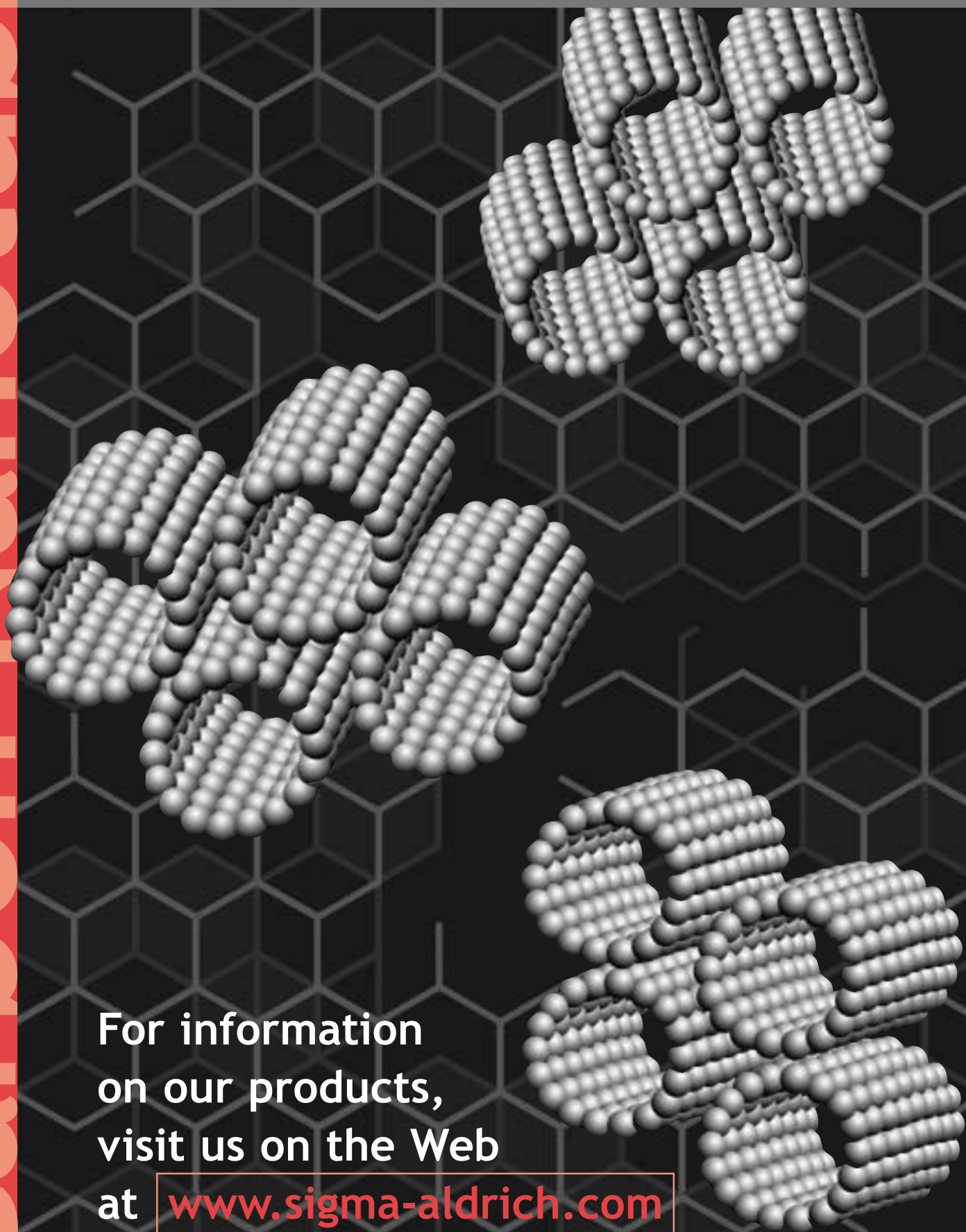
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While both aldehydes and ketones readily condense with **1**, only the aminor, **2a**, from an aldehyde can be oxidized to give the conjugated, purple-colored, bicyclic ring system, 6-mercapto-3-substituted-*s*-triazolo-[4,3-*b*]-*s*-tetrazine (**3**).

## Qualitative Tests

Dickinson and Jacobsen's initial paper described a simple test that uses one drop of the aldehyde and about 150 mg of **1** in 2 mL of 1N NaOH. Aeration of the resulting solution produces an intense color within one minute. This test is both sensitive and specific for aldehydes. The reagent does not give purple condensation products with ketones, esters, amides, hydrazines, hydroxylamines, quinones, aminophenols, uric acid, or formic acid, which are known to interfere with the usual test reagents such as Fehling's solution, and Tollens' and Schiff's reagents. The test is sensitive to aldehydes at a concentration of  $1 \times 10^{-4}$  M. The authors examined the purple-colored solutions obtained from a variety of aldehydes and reported an absorption band in the visible region at 520–555 nm. Very hindered aldehydes may require longer reaction times. **Table 1** lists some representative aldehydes and reaction times necessary for color changes.<sup>2</sup>

Dickinson and Jacobsen mentioned in a footnote that acetic acid, known to contain

0.05% acetaldehyde, gave a positive test with **1**.<sup>1</sup> Recently, a student obtained a positive test with a sample of 95% 3-pentanone, which clearly indicated the presence of a low concentration of an aldehyde.<sup>3</sup> Therefore, care must be taken, if one is relying on the test, when dealing with samples of unknown purity. Other misleading results can be obtained when certain substances are oxidized to aldehydes under the test conditions. Durst and Gokel<sup>4</sup> reported that the use of ethylene glycol, known to be readily oxidized, as the solvent might give spurious results. They described the use of a phase-transfer catalyst to improve the test for lipophilic aldehydes, which have low solubilities in aqueous media. The oxidation of aminor **2** to **3** was also carried out using hydrogen peroxide<sup>5</sup> or periodate ion.<sup>6,7</sup> Rahn and Schlenk<sup>8</sup> observed a positive test from ozonides. Purpald® has been used to prepare filter paper test strips,<sup>9</sup> in TLC spray reagents,<sup>8</sup> and as a reagent for the post-column derivatization in high-pressure liquid chromatography.<sup>5,10</sup> The sensitivity limit of this reagent (a distinct purple solution is produced) is under 1 mg of Purpald® in 0.6 mL of dilute NaOH with 37% formaldehyde solution.<sup>3</sup> At this level, the cost of Purpald® is less than that of the silver nitrate used in the Tollens' aldehyde test.

## Quantitative Tests

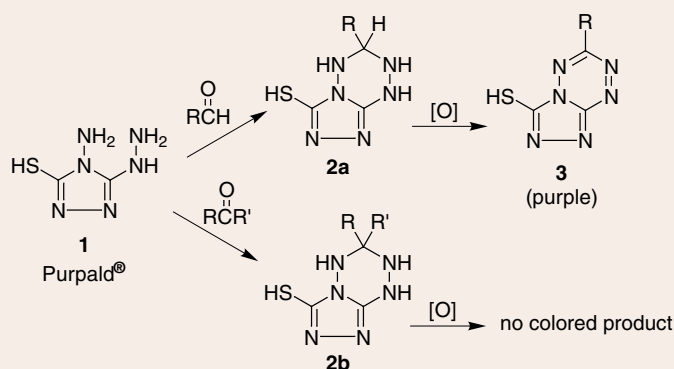
Following their initial report, Jacobsen and Dickinson described the use of **1** in spectrophotometric quantitative assays of aldehydes.<sup>11</sup> They found that Beer's law was followed for 0.5–5 ppm formaldehyde within the limit of  $\pm 3\%$ . Also, the same  $\pm 3\%$  limit pertained to 5–20 ppm formaldehyde after suitable dilution. The colored product, **3**, from a group of aldehydes displayed a  $\lambda_{\text{max}}$  absorption between 532 and 549 nm. Nakano has described the detrimental effects of copper (II) and cobalt ions in the course of formaldehyde determinations.<sup>12</sup> The addition of EDTA markedly inhibited the interference of Cu(II) ion.



## Applications

The spectrophotometric quantitative assays have led to a wide range of applications for Purpald®, including the detection of aldehydes in gases, liquids, and on solid surfaces. The detection of formaldehyde vapor has been extensively studied.<sup>13–18</sup> Quesenberry and Lee<sup>6</sup> described a rapid formaldehyde assay using Purpald® under periodation conditions, and attained the sensitivity level of as little as 1 nmol formaldehyde. Lambert et al.<sup>16</sup> described a solid reagent of Purpald®–acetone aminor on sodium bicarbonate, which responded to formaldehyde in the sub-ppm concentration range. Spreitzer and Jaeger<sup>19</sup> have reported a method for the determination of ppb amounts of formaldehyde in aqueous solution with Purpald®. Fujiwara et al.<sup>20</sup> reviewed the microscale determination of formaldehyde in vaccines with **1**. Mimura et al.<sup>7</sup> have compared the Purpald® method with the acetylacetone method for the detection of formaldehyde. The presence of formaldehyde on the surface of natural wood<sup>21</sup> and boards bonded with urea–formaldehyde resin<sup>22</sup> has been detected using Purpald®.

Other aldehydes that have been detected by using **1** include: aldehydes in disinfectant



**Table 1. Reactions of Representative Aldehydes with 1<sup>2</sup>**

| Aldehyde                             | Color        | Time      |
|--------------------------------------|--------------|-----------|
| 5-Hydroxypentanal                    | purple       | <30 sec   |
| Crotonaldehyde                       | purple       | —         |
| <i>trans</i> -Cinnamaldehyde         | purple       | <5 min    |
| $\alpha$ -Methylcinnamaldehyde       | purple       | <10 min   |
| $\beta$ -Phenylcinnamaldehyde        | purple       | —         |
| Benzaldehyde                         | purple       | <1 min    |
| 2-Nitrobenzaldehyde                  | purple-brown | <30 sec   |
| 4-Acetamidobenzaldehyde              | purple-brown | <5 min    |
| 2-Chloro-6-nitrobenzaldehyde         | purple       | <5 min    |
| 10-Methylanthracene-9-carboxaldehyde | purple       | very slow |

solutions,<sup>23</sup> acetaldehyde on the surface of fruit,<sup>24</sup> semialdehydes,<sup>25</sup> invert sugars,<sup>26,27</sup> and lipid aldehydes.<sup>8</sup> A number of reports have also described analytical procedures, which utilize the formation of an aldehyde and its subsequent quantitative spectrophotometric measurement using Purpald®. For example, Sugano and co-workers<sup>28</sup> analyzed hydrogen peroxide in serum by adding methanol and the enzyme necessary to catalyze the peroxide oxidation of methanol to formaldehyde. Formaldehyde concentrations were then determined with Purpald®. Additional examples of substances analyzed in this way include: methanol,<sup>29,30</sup> sugars and glycols,<sup>31</sup> serum triglycerides,<sup>32</sup> diprophylline which contains a glycol moiety,<sup>33</sup> glafenine,<sup>34</sup> clindamycin,<sup>35</sup> uric acid,<sup>36</sup> and methylenebisthiocyanate.<sup>37</sup> Multistep processes, which ultimately produce an aldehyde, include the assay of acyl-CoA synthetase,<sup>38</sup> catalase activity in tissue,<sup>39</sup> and D-amino acid oxidase.<sup>40</sup>

## Conclusion

This interesting reagent came to light shortly after it was mentioned for the qualitative detection of aldehydes in "Brownstein's

Closet" section of *CHEMTECH*.<sup>41</sup> Naturally, it was prepared and offered by Aldrich. The anticipated use as a quantitative reagent has not been forthcoming; however, it has been widely employed as a quantitative spectrophotometric reagent. Recently, Brandl<sup>42</sup> has suggested that Purpald® will replace the Tollens' test for aldehydes in the student laboratory. Purpald® is both sensitive and specific for aldehydes. The test is fast and easy to perform, and avoids the potentially explosive silver salts associated with the Tollens' test. The toxicity of Purpald® has not been determined.

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Purpald is a registered trademark of Sigma-Aldrich Co.

## About the Author

Harvey B. Hopps received a B.A. degree from Occidental College in 1956, an M.S. degree from the University of Arizona in 1958, and a Ph.D. degree from Purdue University in 1962. After spending one year as postdoctoral scientist at New York University with Professor Kurt Mislow, he joined Aldrich as a group leader in research. He became Technical Services Manager in 1969, and Aldrich-Bornes Manager in 1972. He moved to Fumico, Inc. in 1981, and served as Head of Chemistry until he joined Amarillo College in 1996 as Instructor in the Physical Sciences Department, where he teaches organic and general chemistry. His interests include the local helium industry, fishing, and teaching fly-tying.



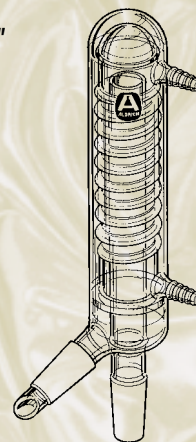
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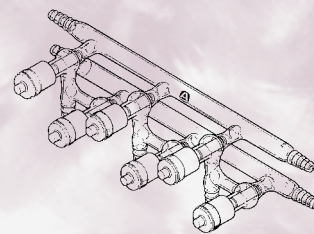
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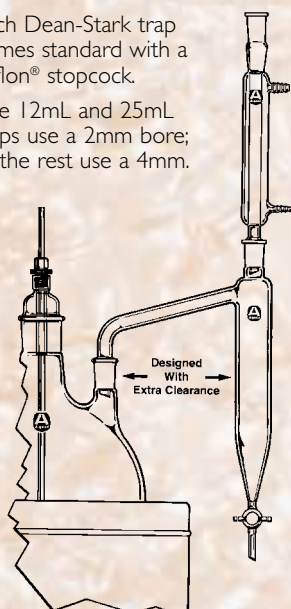
### Positions Cat. No.

|            |                  |
|------------|------------------|
| 3-position | <b>Z41,413-1</b> |
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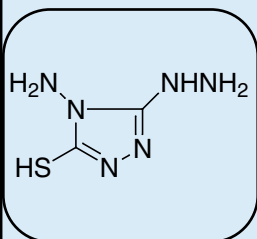
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| 25        | 280                  | 80             | 50mL – 5L            | 24/40   | <b>Z42,302-5</b> |
| 100       | 350                  | 100            | 5L – 12L             | 24/40   | <b>Z42,303-3</b> |
| 300       | 440                  | 170            | 22L – 50L            | 24/40   | <b>Z42,304-1</b> |
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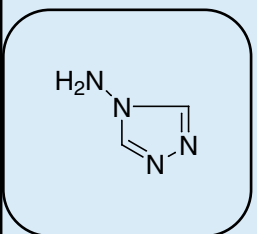
# AMINOTRIAZOLES

Purpald®, an aminotriazole, was discussed in the previous article as a valuable agent for the colorimetric analysis of aldehydes. This product and other aminotriazoles also find utility in organic synthesis, especially for pharmaceuticals. See below for a few examples, and don't hesitate to call our Technical Services Department at **800-231-8327** (USA) or your local Sigma-Aldrich office for more information or to suggest new products. Larger quantities are available through Sigma-Aldrich Fine Chemicals. Please call **800-336-9719** (USA) or your local office for availability.



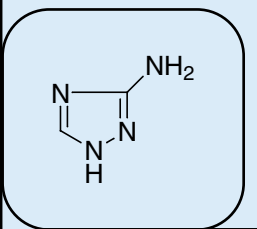
Purpald® continues to be useful as a complexation reagent for the spectrophotometric determination of aldehydes, e.g., in drug concentration analyses and in determining airborne formaldehyde. It was also used to prepare a series of antimicrobials.<sup>1</sup>

**16,289-2**     **Purpald®**, 99+% (*4-Amino-3-hydrazino-5-mercapto-1,2,4-triazole*)



This 4-aminotriazole was used in a study of anti-inflammatory COX-2 inhibitors<sup>2</sup> and in the preparation of fluconazole,<sup>3</sup> an antifungal.

**A8,180-3**     **4-Amino-1,2,4-triazole**, 99%



Several patents describe the use of 3-aminotriazole in the preparation of fungicides and herbicides. It was also used in the structure-activity analysis of angiotensin II receptor antagonists.<sup>4</sup>

**A8,160-9**     **3-Amino-1,2,4-triazole**, 95%

## OTHER AMINOTRIAZOLE LISTINGS:

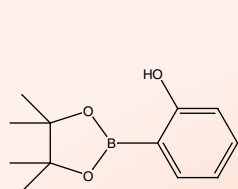
- 14,026-0**     **3-Amino-5-mercapto-1,2,4-triazole**, 95%  
**19,068-3**     **3-Amino-5-methylthio-1H-1,2,4-triazole**, 98%  
**28,207-3**     **3-Amino-1,2,4-triazole-5-carboxylic acid hydrate**, 98%  
**34,152-5**     **4-Amino-3,5-di-2-pyridyl-4H-1,2,4-triazole**, 97%  
**43,854-5**     **4-Amino-5-(4-pyridyl)-4H-1,2,4-triazole-3-thiol**, 97%  
**43,855-3**     **4-Amino-5-phenyl-4H-1,2,4-triazole-3-thiol**, 97%  
**D2,620-2**     **3,5-Diamino-1,2,4-triazole**, 98%

### References:

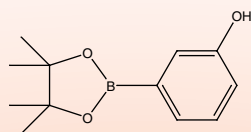
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# ARYLBORONIC ACID-PINACOL ESTERS

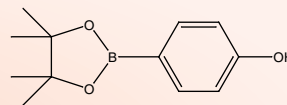
The synthesis of biaryl compounds via the Suzuki coupling reaction has become more commonplace now that many arylboronic acids are readily available. Several years ago, Miyaura demonstrated the utility of cyclic pinacol esters of arylboronic acids in Suzuki coupling reactions.<sup>1,2</sup> Aldrich offers the following arylboronic acid-pinacol esters<sup>3</sup> as part of a growing line of products used in the Suzuki coupling reaction and other synthetic and combinatorial methodologies. For a complete listing of Aldrich products, please request your **FREE** copy of the 2000-2001 Aldrich Handbook of Fine Chemicals and Laboratory Equipment at **800-231-8327** (USA) or your local Sigma-Aldrich office, or visit us on the Web at **www.sigma-aldrich.com**.



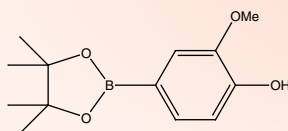
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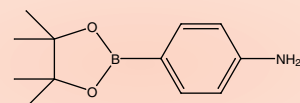
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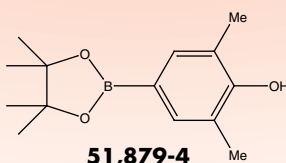
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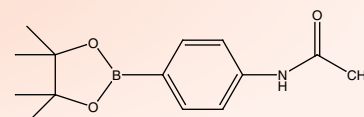
**51,878-6**



**51,875-1**



**51,879-4**



**51,877-8**

**52,255-4 2-(4,4,5,5-Tetramethyl-1,3,2-dioxaborolan-2-yl)phenol**

(2-Hydroxyphenylboronic acid, pinacol cyclic ester)

**52,256-2 3-(4,4,5,5-Tetramethyl-1,3,2-dioxaborolan-2-yl)phenol**

(3-Hydroxyphenylboronic acid, pinacol cyclic ester)

**52,257-0 4-(4,4,5,5-Tetramethyl-1,3,2-dioxaborolan-2-yl)phenol, 97%**

(4-Hydroxyphenylboronic acid, pinacol cyclic ester)

**51,875-1 4-(4,4,5,5-Tetramethyl-1,3,2-dioxaborolan-2-yl)aniline, 97%**

4-Aminophenylboronic acid, pinacol cyclic ester)

**51,878-6 2-Methoxy-4-(4,4,5,5-tetramethyl-1,3,2-dioxaborolan-2-yl)phenol, 98%**

(4-Hydroxy-3-methoxyphenylboronic acid, pinacol cyclic ester)

**51,879-4 2,6-Dimethyl-4-(4,4,5,5-tetramethyl-1,3,2-dioxaborolan-2-yl)phenol, 97%**

(4-Hydroxy-3,5-dimethylphenylboronic acid, pinacol cyclic ester)

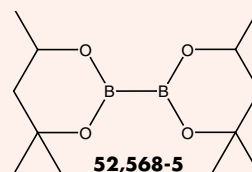
**51,877-8 4'-(4,4,5,5-Tetramethyl-1,3,2-dioxaborolan-2-yl)acetanilide, 97%**

(4-Acetamidophenylboronic acid, pinacol cyclic ester)

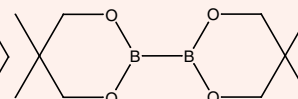
## DIBORON ESTERS

**NEW!**

Aldrich is pleased to offer two new diboron esters to help develop the chemistry surrounding the Suzuki coupling reaction.<sup>3</sup> These new diboron esters exhibit different solubility and reactivity characteristics than the analogous bis(pinacolato)diboron and bis(catecholato)diboron, also available from Aldrich.



**52,568-5**



**51,880-8**

**52,568-5 Bis(hexylene glycolato)diboron, 96%**

**51,880-8 Bis(neopentyl glycolato)diboron, 97%**

**Also Available:**

**47,328-6 Bis(catecholato)diboron, 97%**

**47,329-4 Bis(pinacolato)diboron, 98%**

**References:** (1) Ishiyama, T. et al. *Tetrahedron Lett.* **1997**, 38, 3447. (2) Ishiyama, T. et al. *J. Org. Chem.* **1995**, 60, 7508. (3) Patents pending, including WO/98/45265.

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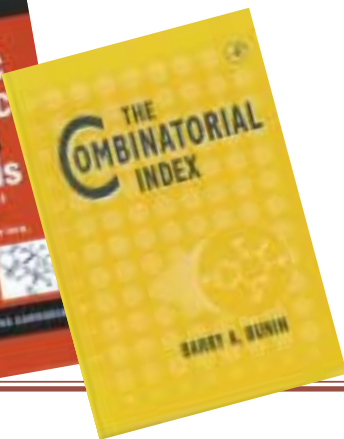
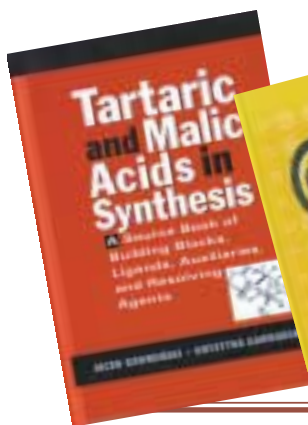
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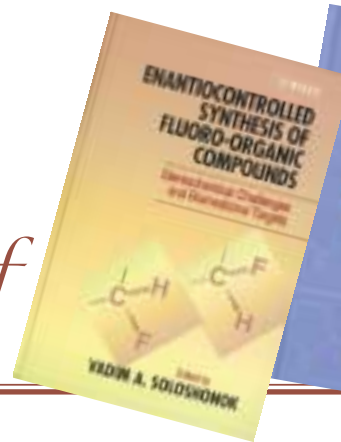


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**Tartaric and Malic Acids in Synthesis: A Source Book of Building Blocks, Ligands, Auxiliaries, and Resolving Agents**

J. Gawronski and K. Gawronska, John Wiley & Sons, New York, NY, 1999, 591pp. Hardcover. Provides chemists with a concise, yet comprehensive, review of the chemical properties and synthetic applications of derivatives of tartaric and malic acids. Includes hundreds of reaction schemes and figures, more than 70 tables of data and references for 2,000 compounds, and over 2,500 references to primary, secondary, and patent literature sources.

**Z42,244-4**

**Enantiocontrolled Synthesis of Fluoro-Organic Compounds: Stereochemical Challenges and Biomedical Targets**

V. A. Soloshonok, Ed., John Wiley & Sons, Chichester, England, 1999, 690pp. Hardcover. Selective insertion of fluorine substituents in strategic positions of bioactive molecules is a powerful and versatile tool for the design of new drugs, diagnostic agents, agrochemicals, and biomedical probes. This is the first book to give a comprehensive overview of the preparative methods for enantiocontrolled synthesis of fluorine-containing compounds of biomedical importance.

**Z42,243-6**

**Conducting Polymers, Fundamentals and Applications: A Practical Approach**

P. Chandrasekhar, Kluwer Academic Publishers, Boston, MA, 1999, 760pp. Hardcover. Deals with the practical fundamentals and applications of conducting polymers. This book may be used as a basis for further work, as a reference, or as a text supplementing advanced undergraduate- or graduate-level courses.

**Z42,253-3**

**Sax's Dangerous Properties of Industrial Materials**

10th ed., R. J. Lewis, Sr., John Wiley & Sons, New York, NY, 1999, 4,000pp. Hardcover. Three-volume set outlines the hazards of more than 23,500 industrial and laboratory chemicals. Individual listings include DPIM number, physical properties, synonyms, CAS No., toxicity data, DOT hazard code, hazard rating, OSHA, ACGIH, and MAK exposure limits, as well as NIOSH REL limits, DOT classification, and safety profiles, including both carcinogenic and reproductive effects data.

**3-volume set  
Z42,239-8**

**Concise Polymeric Materials Encyclopedia**

J. C. Salamone, CRC Press, Boca Raton, FL, 1998, 1760pp. Hardcover. Contains more than 1,100 articles providing a synopsis of the topics described. Featuring contributions from more than 1,800 scientists from all over the world, the book discusses a vast array of subjects related to the synthesis, properties, and applications of polymeric materials, development of modern catalysts in preparing new or modified polymers, modification of existing polymers by chemical and physical processes, and biologically oriented polymers.

**Z42,237-1**

**The Combinatorial Index**

B. A. Bunin, Academic Press, New York, NY, 1998, 322pp. Hardcover. This compendium of methods from the primary literature provides quick and convenient access to reliable synthetic transformations as well as information on linkers and analytical methods. Each synthetic procedure is preceded by a section entitled "Points of Interest", which highlights the strengths and weaknesses of the various studies. The index covers the use of solution-based synthesis for the generation of molecular diversity, and includes a structural appendix showing functional group transformations.

**Z42,250-9**

**Lewis Acid Reagents: A Practical Approach**

H. Yamamoto, Ed., Oxford University Press, New York, NY, 1999, 288pp. Hardcover. A laboratory manual providing step-by-step protocols for using Lewis acid reagents to catalyze organic synthesis processes. Discusses a variety of new selective organic syntheses that are now available using modified/designed reagents.

**Z42,248-7**

**Combinatorial Chemistry**

N.K. Terrett, Oxford University Press, New York, NY, 1998, 200pp. Softbound. Provides a concise and comprehensive overview of combinatorial techniques for academic and industrial researchers and students. Includes extensive reference sections for further reading.

**Z41,256-2**

**Asymmetric Fluoroorganic Chemistry: Synthesis, Applications, and Future Directions**

P. V. Ramachandran, Oxford University Press, New York, NY, 1999, 320pp. Hardcover. This book collects current work on the synthesis of fluoroorganic compounds beginning with a review of fluorine-containing chiral compounds of biomedical interest. Subsequent chapters address reagent- and substrate-controlled asymmetric synthesis, the synthesis of fluorine-containing target molecules, bio-organic synthesis, and asymmetric fluoroorganic compounds in materials chemistry and agrochemistry.

**Z42,249-5**

**Reagent Chemicals**

9th ed., American Chemical Society, Washington, DC, 1999, 768pp. Hardcover. Provides test procedures and other valuable information required to ensure that chemicals meet the latest ACS reagent specifications.

**Z42,183-9**

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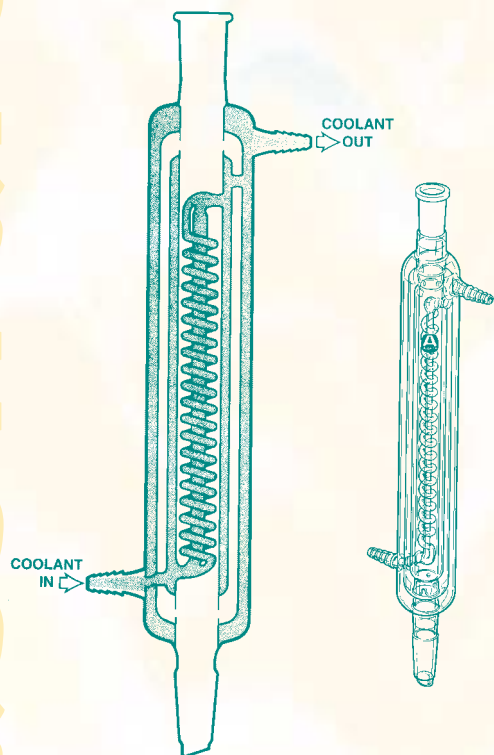
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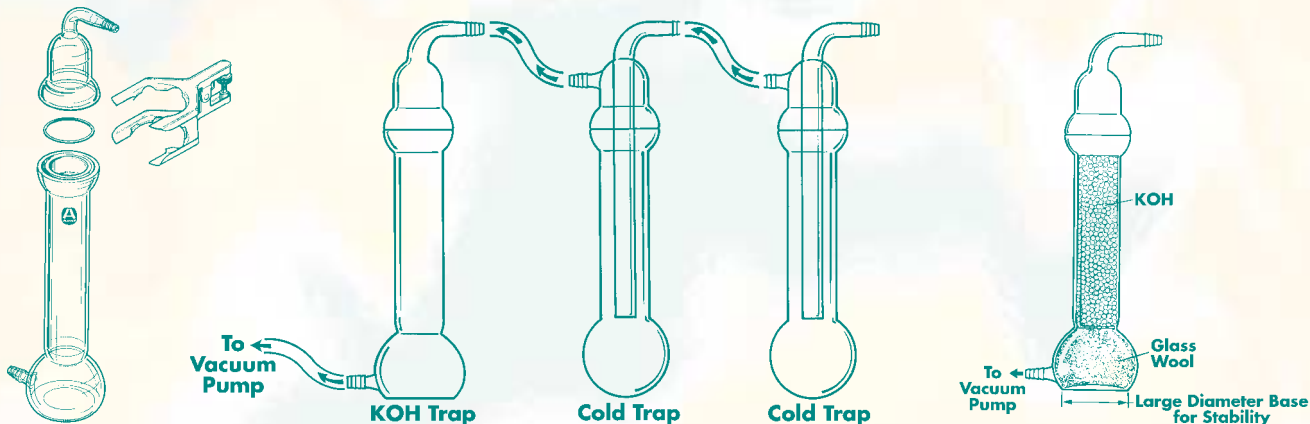
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